



**2021 Central Procurement Entity Local
Resource Adequacy
Request for Offers
Supplemental Local Resource Information
Appendix B**

Contents

All Projects	3
Existing Resources.....	4
Project Description.....	4
Experience and Qualifications	5
Organizational Information.....	7
Safety	9
New Resources.....	10
Project Description.....	10
Site Control	18
Project Milestone Schedule	21
Experience and Qualifications	23
Organizational Information.....	25
Safety	27

Important note: Participants must use the highlighting tool to clearly mark its information that Participant considers confidential and proprietary. These requirements are in accordance with the confidentiality provisions as described in Section XIII of the 2021 CPE Local RA Solicitation Protocol.

PG&E reserves the right to request additional documentation listed but not already provided in the Offer package.

All Projects

Please indicate the type of Resource submitted by **bolding the appropriate selection**:

- Non-Compensated Self-Shown Resource
- Existing Compensated Self-Shown Resource
- Existing Compensated Offered Resource
- New Compensated Self-Shown Resource
- New Compensated Offered Resource

Note: Please only fill out the appropriate sections below based on your Offer type.

- Participants offering Non-Compensated Self-Shown Resources do not need to fill out any section of this document.
- Participants offering Existing Compensated Self-Shown or Existing Compensated Offered Resources please only fill out the “Existing Resources” sections on pages 4-9.
- Participants offering New Compensated Self-Shown or New Compensated Offered Resources please only fill out the “New Resources” sections on pages 10-26.

Existing Resources

Project Description

Participant Name: _____

Please provide the following Project information in the order requested. Indicate if a question is not applicable and do not leave responses blank.

1. SYSTEM DESCRIPTION

A general description of the energy resource system, including any control and communications system.

2. PLANNED CAPITAL UPGRADES

Are there any large capital upgrades (greater than or equal to \$500,000) planned for the resource being offered?

**Please Select One
Response**

<input type="checkbox"/>	YES
<input type="checkbox"/>	NO

If yes, please describe the capital upgrades planned for the resource being offered.

Experience and Qualifications

Participant Name: _____

Provide information in the order requested. Indicate if a question is not applicable and do not leave responses blank.

Please describe the Participant's experience and staff qualifications, including but not limited to:

1. Experience and qualifications in operating and maintaining energy resource facilities, as well as contracting to sell and deliver power supplies. Participant should highlight their experience in these areas as it relates to:

- projects utilizing the same technology as the proposed Project;
- projects of similar capacity and configuration as the proposed Project;
- projects supplying energy resources and/or energy to California.

2. Does the Participant or anyone in the Participant's organization have any prior commercial transaction experience with PG&E? If yes, please describe this experience (for example: prior commercial solicitations).

~~2-3.~~ How many commercial contracts has the Participant executed with PG&E?
Please list all commercial contracts previously ~~and~~ executed with PG&E's Energy Procurement group.~~the~~

For Demand Response Providers only:

1. Please describe your experience and performance in Demand Response programs and pilots.
2. Please describe your experience as a Demand Response Provider and integrating demand response directly into the CAISO markets.

3. Do you have a Qualifying Capacity (QC) approved by the California Public Utilities Commission (CPUC) for the delivery term of your offer? If not, please describe your current status and plans for obtaining a QC for your demand response resource.
4. Does your demand response resource load reduction rely on Prohibited Resources?¹
5. For your offer for this solicitation please identify the number of PG&E service agreements that have been registered in the CAISO Demand Response Registration System (DRRS) as of the date of your offer submittal and forecasted service accounts you require to meet the quantities and requirements of your offer. Please identify by project type, whether the accounts are Non-Residential or Residential, and the Sub-LAP (as defined in the CAISO Tariff) of each account.
6. Have you participated in any PG&E Demand Response programs such as the Demand Response Auction Mechanism (DRAM) pilot, the Base Interruptible Program (BIP), or the Capacity Bidding Program (CBP). If so, please identify the demand response program participation and/or contracts you have executed with PG&E, the volume of such contracts, and the performance.
7. Have you ever executed a contract from the Demand Response Auction Mechanism (DRAM) Request for Offers (RFO)? Provide a description of the contracts.

If you answered “yes” to question 7 or 8, please answer the following questions.

8. Please provide your demand response performance in dispatches and test events relative to your contract obligations in each dispatch over the past 2 years.
9. Have you ever defaulted on a Demand Response contract?

¹ “Prohibited Resource” is defined in CPUC Decision D.16.09.056 as modified by CPUC Decision D.18.06.012.

Organizational Information

Participant Name: _____

Participant Organizational Information:

1. Indicate the full, complete and correct legal name of Participant and provide copy of a certificate of good standing or similar document from Participant's state of formation. The entity name on the certificate must match the name of Participant:
2. Organizational chart for the Project that lists the Project participants and identifies the management structure and responsibilities. A written description and a box diagram showing links are both helpful.
3. Please provide the staff make-up and size and the identification and brief description of Participant's key personnel and management.
4. If applicable, list the legal names of the participants of a joint Offer Participants, owners of the Project (if different than the Participant) and the relative percentage ownership of Participant of the Project, and in addition address all of the following if applicable: Participant is a direct or indirect subsidiary or affiliate of any other entity or corporation provide detail as to the relationships and identify the ultimate parent;
 - Participant is part of a partnership, provide the names of all partners and indicate the general partner(s);
 - Participant is a joint venture, identify the members of the joint venture and indicate if any is the controlling entity;
 - Participant a limited liability company, identify the members;
 - Participant is acting as a member of a consortium or other organization, association or group of persons acting in concert for purposes of submitting a joint Offer, provide the names of all the members and indicate the controlling member of the consortium, organization, association or group.
5. Provide the name of the principal state where the entities named in item-1 A.2. above are registered or incorporated with an "active" status. If Participant is incorporated outside of California but is also registered in California, but under a different name, please provide that name

6. Please identify the parties (including Participant's entity) you believe will need to know "Confidential Information" (as that term is defined in the Confidentiality Agreement, Appendix D) during any upcoming negotiations of your Offer with PG&E CPE. If you are the only party with a need to know, just indicate that.
7. List and describe any pending legal disputes that may affect the Participant's ability to enter into or fulfill its ability to perform under the proposed Offer.
8. Provide the name and contact information of your legal counsel representing you in this Offer. This will allow PG&E CPE to request further information, clarification, or contact counsel if there is a need to address conflicts of interest.

Safety

Participant Name: _____

1. Describe all known safety hazards associated with the energy resource and configuration planned for the Project. Provide descriptions of the systems or processes typically used to manage or mitigate any risks associated with the known safety hazards.
2. Identify the relevant industry safety-related codes or standards and government regulations that apply to the design and operation of the energy resource using the proposed technology and configuration (e.g. NEC Article 480 for stationary energy storage, etc.).
3. Provide a description of any ongoing processes used by the energy resource original equipment manufacturer (OEM) and its customers to communicate lessons learned about its installed projects to identify, resolve, and prevent potential safety-related issues.
4. Provide the safety record of the Participant and identified contractors associated with the Project, and identify subsequent actions taken to manage or mitigate any safety incidents. Provide documentation for the previous three years (2015-2017) to demonstrate Participant's (if applicable) and/or associated contractors' Occupational Safety and Health Administration (OSHA) Recordable Incident Rates and Experience Modification Rates.

New Resources

Project Description

Participant Name: _____

Please provide the following Project information in the order requested. Indicate if a question is not applicable and do not leave responses blank.

1. SYSTEM DESCRIPTION

A general description of the energy resource system, including any control and communications system.

2. TECHNICAL/EQUIPMENT DESCRIPTION

~~A summary of the technical characteristics of the Project, including:~~

~~a listing of the major components (equipment) to be used;~~

~~information relating to the availability of and Participant's access to the equipment and components utilized / proposed for construction and operation of the Project;~~

~~equipment source (e.g. existing owned inventory, new manufacturer order, resale market purchase, etc.);~~

~~terms and expiration of warranties/guarantees;~~

~~a non-confidential description of any new or proprietary processes in manufacturing, deployment, operation, etc.; and~~

~~any other relevant technical information about the Project and supply chain considerations.~~

~~Has the equipment/application proposed been applied in commercial operations? If so, provide examples. If the technology/equipment has not been used commercially, please describe the status of the application.~~

~~Please indicate if you have patent or license rights to new or proprietary processes, equipment, hardware/firmware/software, or systems necessary for the successful operation of the Project.~~

2a. ENGINEERING/PROCUREMENT/CONSTRUCTION

A summary of the technical characteristics of the Project, including:

- (i) A single line diagram (SLD) of the proposed new Project
- (ii) Provide an example of at least one commercially operable project in service similar to the technology proposed in the new Project (Pilot projects do not fit this criteria)
- (iii) A list of all major Project equipment (i.e. solar panels, wind turbines, drilling wells, pumps, generator turbines, transformers, inverters, switchgear, breakers, etc.) with status indicating if the equipment is on hand (including manufacturer), has been procured, a plan to source, or whether a plan to source or procure has not been established at this time.
— a non-confidential description of any new or proprietary processes in manufacturing, deployment, operation, etc.; and
- (iv) any other relevant technical information about the Project and supply chain considerations. Complete Project milestone schedule located on Page 21-22.

3. OPERATING PARAMETERS

To give an understanding and confidence that the proposed operation of the Project is achievable, provide the following information:

- (i) Describe specific design considerations and provide, where appropriate, significant design detail to confirm that the Project has been designed to accommodate planned operations. Components that should be specifically addressed are specific to your technology, such as PV panels, battery cells, inverters, auxiliary equipment, etc.
- (ii) List known or expected operating characteristics of the Project. Provide any manufacturer provided operating specifications.

34. CUSTOMER ACQUISITION

For Behind-the-Meter and Demand Response projects:

- (i) Please describe the plan for acquiring customers to meet the offered capacity in the specific Local Area in which the resource will be located.
- (ii) If the Participant has previous experience in customer acquisition, please describe this experience and the results of the customer acquisition efforts.

45. PERMITTING

Please complete the following table of permits and discretionary approvals required from local, state, federal, and/or tribal authorities for both the Project and any interconnection related upgrades under consideration. Include those permits that are required but not currently held, the status of permits in the application phase, and the expiration date of all discretionary permits already obtained and the agency process for granting a permit extension. Provide links to agency or applicant web sites where permit information is available.

Table of Discretionary Land Use Permits and Approvals Required from Local, State, Federal, and/or Tribal Authorities for the Project and any Interconnection Upgrades

Permit Type or Approval	Issuing Agency/Entity	Submittal Date (Anticipated or actual)	Approval Date (Anticipated or actual)	Expiration Date (Anticipated or actual)
<i>Example: Conditional Use Permit</i>	<i>Alameda County, CA</i>	<i>8/5/2014</i>	<i>1/3/2015</i>	<i>1/1/2018</i>
<i>[List additional permits, as necessary.]</i>				

* Describe the agency process for granting a permit extension and the length of the extension.

56. ENVIRONMENTAL RESOURCE REVIEWS AND APPROVALS

Please provide the following information, identifying all required environmental resource reviews, permits or approvals obtained, in progress, or anticipated for the Project, including but not limited to those listed below. Indicate permit/approval status and anticipated issuance or approval date. Provide links to agency or applicant web sites where agency

environmental review and determination documents (i.e., EIR, EIS) and Project environmental study documents are available. If applicable, indicate if environmental reviews are not required by the permitting agency for the Project, and why.

(i) CEQA/NEPA Review

- NEPA Lead Agency (if required)
 - Type of Document - EA/FONSI or EIS
 - Date Final Document Approved, or estimated approval date
- CEQA Lead Agency (if required)
 - Type of Document - NegDec, Mitigated NegDec or EIR
 - Date final document approved, or estimated approval date
 - State Clearinghouse Number

(ii) Threatened, Endangered, and Special-Status Species

- Methods and results of any biological resources field-based surveys or desktop review/analysis conducted by a qualified biologist(s)
 - Indicate type of survey (e.g. protocol-level, reconnaissance, floristic, etc.)
- USFWS Section 7 Consultation (if required)
 - Federal agency initiating consultation
 - Type of Consultation – Informal, Formal
 - Type of USFWS Response – Concurrence or Biological Opinion & Incidental Take Permit
 - Date Consultation completed or estimated completion date
- California DFW Consultation (if required)
 - Incidental Take Permit (if required)
 - Date received or estimated receipt date

(iii) Cultural Resources

- Have any cultural resource investigations been done for your proposal? If yes, please describe.
- If required, provide Native American stakeholders to be consulted. If they have been consulted, then please provide contact dates.
- Status of Section 106 review, if required
- Describe any cultural resources on the Project Site eligible for or listed on national or state registers

(iv) Water Resources

- Has the project secured water supplies needed for construction and operation (domestic use, process water, etc.)? Please describe any commitments from local water providers.
- Is the project required to obtain coverage under one or more General or other NPDES Permits (i.e., Construction, Industrial, etc.)? If so, describe the applicable permits and progress made toward obtaining coverage.

(v) Air Quality

- Is the project subject to local or state/federal air quality permitting requirements? If yes, please provide permitting status information and draft/final permitting documentations and approvals.
- Greenhouse gas emission impact from construction in annual metric tons of CO₂e.
- Greenhouse gas emissions from operation in annual metric tons of CO₂e.
- How will the project contribute or align with California's effort in achieving carbon neutrality?
- Construction mitigation plan and compliance strategy

As applicable, please provide any additional permitting and environmental information for the items below, as this could support the Project viability assessment. Provide impact information and mitigation plans when relevant. If information is not available, please indicate as such.

- (i) Hazardous Materials/Waste (i.e., type and volumes)
- (ii) Water Quality and Supply (i.e, source, amounts, on-site usage and discharge)
- (iii) Air Quality
- (iv) Stakeholder Engagement (i.e., anticipating and addressing public concerns about the Project)

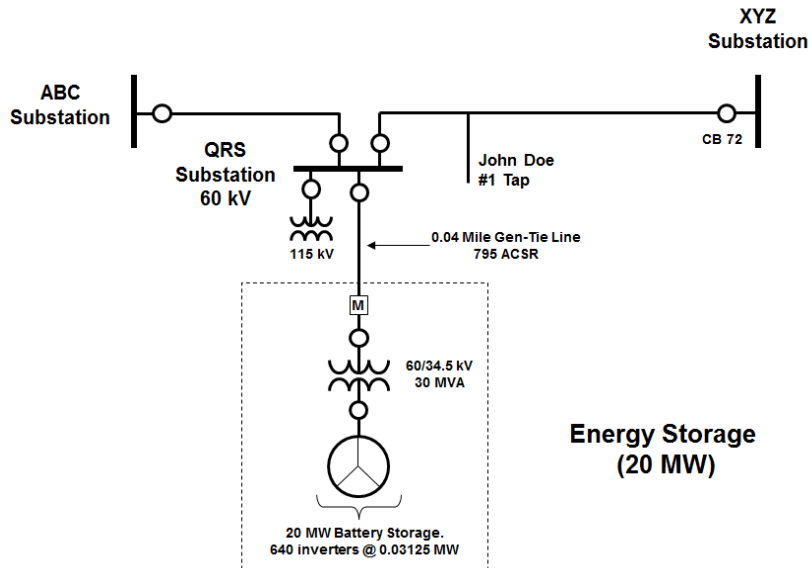
~~7. PRELIMINARY DESIGN~~

~~Provide the following preliminary design information used as the basis for the facility design and associated performance guarantees. Depending on the technology of the project offered, some sections will not apply.~~

- ~~(i) General Arrangement Drawings~~

~~(ii) — Plot Plan~~

~~(iii) — Single-Line Diagrams with metering and Point of Interconnection clearly shown~~



EXAMPLE SINGLE-LINE DIAGRAM

~~(iv) — Heat Balance Diagram~~

~~For those resources that burn fuel, then provide a heat balance diagram for each fuel type used. Heat balances for each fuel to be permitted shall include the mass flow rate (lb/hr), temperature (°F), pressure (psia), and enthalpy (BTU/lb) for all energy, water, steam, combustion air, and fuel streams entering and exiting the boundaries of the generating unit and of each major equipment component. The heat balances shall be provided for each fuel that will be permitted for use at the facility. The fuel constituents and higher heating value shall be provided for each of the fuels. All enthalpies shall be provided on a BTU or MMBTU per pound basis, with fuel heating value(s) specified at the Higher Heating Value in BTU per pound.~~

~~(v) — Piping & Instrument Diagrams (P&ID)~~

~~The Piping and Instrument Diagrams (“P&IDs”) should include line sizes, instrumentation, and valves for all major systems that will be provided by Participant. The Participant shall also provide a list of all system P&IDs that will be prepared during the design phase of the Project.~~

68. INTERCONNECTION

Provide the following information regarding interconnection:

- Provide the following information regarding interconnection:
 - (i) Status of the project's interconnection application and whether the project has a firm interconnection schedule, or the reasonableness of the projected interconnection schedule if the interconnection agreement has not yet been finalized.
 - (ii) Provide a written description of your interconnection plans and any known network requirements that may be needed.
 - (iii) List the current or proposed point of interconnection to the grid (e.g. the substation) and the distance from the project to the electric interconnection point.
- Status of Project's studies associated with the interconnection process, along with any application fees paid. Expected dates for: (i) the completion of the various studies associated with the interconnection process; (ii) the completion of any upgrades required for interconnection; and (iii) the ultimate availability of the interconnection.
- Provided any completed interconnection studies or interconnection agreement, if applicable.
- At the time of the Offer submittal, Participants must have Participating Transmission Operator (PTO) or Utility Distribution Company (UDC) documentation showing that the Resource is expected to receive Full Capacity Deliverability Status (FCDS) in order to support delivery of product, including RA, per the obligations of the corresponding agreement. Participants must remain active in the applicable interconnection queue until the Resource's required network upgrades have been completed. At a minimum, Resources must have an interconnection report or agreement as a result of an interconnection request demonstrating evidence of a construction schedule that can meet the proposed Initial Delivery Date.

79. GAS INTERCONNECTION

If applicable, provide a description of the existing/proposed gas interconnection including but not limited to a description of the interconnecting utility, gas application status, gas agreement dates, etc.

Site Control

Participant Name: _____

PG&E reserved the right to request additional documentation regarding items listed but not already provided in the Offer package.

Please provide the following Project information in the order requested. Indicate if a question is not applicable and do not leave responses blank.

1. Control of Land for Project Site
Can your firm certify that you possess or control **all** land rights necessary for the project site and duration?

Please Select One Response

YES
NO

If you answered “Yes” to question 1, please indicate the method of site control (Fee Owned, Lease, Option, etc.) for each specific parcel.

Assessor’s Parcel Number	Method of Control (Fee Owned, Lease, Option, Etc.)

If you answered “No” to question 1, please indicate what is missing and what the firm’s plan is to address the deficiency for each specific parcel.

Assessor’s Parcel Number	Acquisition Plan (Fee Ownership, Lease, Option, etc.)	Current Status

2. Control of Land for Electric and Gas Interconnection

Can your firm certify that you possess or control **all** land rights necessary for the Interconnection?

Please Select One Response

<input type="checkbox"/>	YES
<input type="checkbox"/>	NO

If you answered “Yes” to question 1, please indicate the method of control (Easement, Encroachment Permit, Fee Owned, Lease, Option, etc.) for each segment of the interconnection.

Assessor’s Parcel Number (or ROW Segment)	Method of Control (Easement, Encroachment Permit, Fee Owned, Lease, Option, Etc.)

If you answered “No” to question 1, please indicate what is missing and what the firm’s plan is to address the deficiency for each specific parcel or ROW segment.

Assessor’s Parcel Number (or ROW Segment)	Acquisition Plan (Easement, Encroachment Permit, Fee Ownership, Lease, Option, etc.)	Current Status

3. Aerial Site Plan Map

Provide an aerial site plan map in PDF or jpg format that shows the assessor parcel boundary(ies) the project is located on, the overall boundary/footprint of the project, and key proposed and existing project facilities, including electric generation and storage facilities, access roads and the rights-of-way for all electric, gas, and water interconnecting utilities

4. A KMZ file

Provide a site plan map in KMZ file format that shows the assessor parcel boundary(ies) that the project is located on, the overall boundary/foot print of the project, the centroid point of the project, and key proposed and existing project facilities, including electric generation and storage facilities, access roads and the rights-of-way for all electric, gas, and water interconnecting utilities

5. General Summary

a. Provide a summary indicating the status of all survey work for proposed new Project including any outstanding survey work that remains.

b. Include status of preliminary title report for the proposed new Project; include any restrictions or encumbrances that may affect the land and/or proposed work. Provide mitigation steps for any identified restrictions or encumbrances.

Project Milestone Schedule

Participant Name: _____

Please provide a Project milestone schedule describing financing, permitting, engineering, procurement, construction, interconnection, and startup activities, timelines and status. The schedule should include major activities and milestones for all aspects of the Project (including financing, interconnection, permitting, equipment procurement, construction, synchronization, and commercial operations) since project inception through the first year of commercial operation along with a supporting narrative.

[Sample milestones below are for illustration only. Participant to insert project-specific milestones. Project Milestone Schedule must, at a minimum, include the below listed milestones.]

No.	Date	Milestones
1		Demonstrates site control / customer acquisitions.
2		Submits interconnection application.
3		Files any discretionary agency permit applications (i.e. environmental, land use).
4		Files ministerial/construction permit application(s).
5		Receives a completed System Impact Study or Phase I Interconnection Study.
6		Obtains control of all lands and rights-of-way comprising the Site.
7		Receives a completed interconnection Facility Study or Phase II Interconnection Study.
8		Executes an interconnection agreement and transmission/distribution service agreement, as applicable.
9		Receives FERC acceptance of interconnection agreement and transmission agreement.
10		Receives discretionary agency permit (i.e. environmental, land-use).
11		Receives ministerial/construction permits.
12		Executes contract
13		<u>Major equipment procured. Procures the _____ [insert applicable preferred energy resource equipment] for the Project.</u>
14		Completes financing, including construction financing.
<u>15</u>		<u>Move on date/Construction Mobilization</u>
16		Begins construction of the Facility. Expected construction start date.
16		Begins startup activities.
16		Initial Performance Test.
17		Commercial Operation Date.

Experience and Qualifications

Participant Name: _____

Provide information in the order requested. Indicate if a question is not applicable and do not leave responses blank.

Please describe the Participant's experience and staff qualifications, including but not limited to:

1. Experience and qualifications in operating and maintaining energy resource facilities, as well as contracting to sell and deliver power supplies. Participant should highlight their experience in these areas as it relates to:
 - projects utilizing the same technology as the proposed Project;
 - projects of similar capacity and configuration as the proposed Project;
 - projects supplying energy resources and/or energy to California.
2. Does the Participant or anyone in the Participant's organization have any prior commercial transaction experience with PG&E? If yes, please describe this experience (for example: prior commercial solicitations).
- ~~2-3.~~ Please list all commercial contracts previously executed with PG&E's Energy Procurement group.

For Demand Response Providers only:

1. Please describe your experience and performance in Demand Response programs and pilots.
2. Please describe your experience as a Demand Response Provider and integrating demand response directly into the CAISO markets.
3. Do you have a Qualifying Capacity (QC) approved by the California Public Utilities Commission (CPUC) for the delivery term of your offer? If not, please describe your current status and plans for obtaining a QC for your demand response resource.

4. Does your demand response resource load reduction rely on Prohibited Resources?²
5. For your offer for this solicitation please identify the number of PG&E service agreements that have been registered in the CAISO Demand Response Registration System (DRRS) as of the date of your offer submittal and forecasted service accounts you require to meet the quantities and requirements of your offer. Please identify by project type, whether the accounts are Non-Residential or Residential, and the Sub-LAP (as defined in the CAISO Tariff) of each account.
6. Have you participated in any PG&E Demand Response programs such as the Demand Response Auction Mechanism (DRAM) pilot, the Base Interruptible Program (BIP), or the Capacity Bidding Program (CBP). If so, please identify the demand response program participation and/or contracts you have executed with PG&E, the volume of such contracts, and the performance.
7. Have you ever received a winning bid for the Demand Response Auction Mechanism (DRAM) Request for Offers (RFO)? Provide a description of the contracts.

If you answered “yes” to question 7 or 8, please answer the following questions.

8. Please provide your demand response performance in dispatches and test events relative to your contract obligations in each dispatch over the past 2 years.
9. Have you ever defaulted on a Demand Response contract?

² “Prohibited Resource” is defined in CPUC Decision D.16-09-056 as modified by CPUC Decision D.18.06.012.

Organizational Information

Participant Name: _____

Participant Organizational Information:

1. Indicate the full, complete and correct legal name of Participant and provide copy of a certificate of good standing or similar document from Participant's state of formation. The entity name on the certificate must match the name of Participant:
2. Organizational chart for the Project that lists the Project participants and identifies the management structure and responsibilities. A written description and a box diagram showing links are both helpful.
3. Please provide the staff make-up and size and the identification and brief description of Participant's key personnel and management.
4. If applicable, list the legal names of the participants of a joint Offer Participants, owners of the Project (if different than the Participant) and the relative percentage ownership of Participant of the Project, and in addition address all of the following if applicable: Participant is a direct or indirect subsidiary or affiliate of any other entity or corporation provide detail as to the relationships and identify the ultimate parent;
 - Participant is part of a partnership, provide the names of all partners and indicate the general partner(s);
 - Participant is a joint venture, identify the members of the joint venture and indicate if any is the controlling entity;
 - Participant a limited liability company, identify the members;
 - Participant is acting as a member of a consortium or other organization, association or group of persons acting in concert for purposes of submitting a joint Offer, provide the names of all the members and indicate the controlling member of the consortium, organization, association or group.
5. Provide the name of the principal state where the entities named in item 1.A.2 above are registered or incorporated with an "active" status. If Participant is incorporated outside of California but is also registered in California, but under a different name, please provide that name.

6. Please identify the parties (including Participant's entity) you believe will need to know "Confidential Information" (as that term is defined in the Confidentiality Agreement, Appendix D) during any upcoming negotiations of your Offer with PG&E. If you are the only party with a need to know, just indicate that.
7. List and describe any pending legal disputes that may affect the Participant's ability to enter into or fulfill its ability to perform under the proposed Offer.
8. Provide the name and contact information of your legal counsel representing you in this Offer, if PG&E requests further information or clarification or needs to address conflicts of interest.

Safety

Participant Name: _____

1. ~~Describe all known safety hazards associated with the energy resource and configuration planned for the Project. Provide descriptions of the systems or processes typically used to manage or mitigate any risks associated with the known safety hazards.~~
2. Identify the relevant industry safety-related codes or standards and government regulations that apply to the design and operation of the energy resource using the proposed technology and configuration (e.g. NEC Article 480 for stationary energy storage, etc.).
3. Provide a description of any ongoing processes used by the energy resource original equipment manufacturer (OEM) and its customers to communicate lessons learned about its installed projects to identify, resolve, and prevent potential safety-related issues.
4. Provide the safety record of the Participant and identified contractors associated with the Project, and identify subsequent actions taken to manage or mitigate any safety incidents. Provide documentation for the previous three years (201~~5~~-20~~17~~) to demonstrate Participant's (if applicable) and/or associated contractors' Occupational Safety and Health Administration (OSHA) Recordable Incident Rates and Experience Modification Rates.
5. Describe the type(s) of generation technologies to be installed and operated; including all major components.
6. Will the Project be manned 24/7? If not, please describe further how the ~~station~~ Project is monitored.
7. Describe perimeter fencing and site/Project access controls.
8. How will major electrical components be enclosed and secured?
9. Describe the neighborhood surrounding the site/Project; e.g. - schools, residences, businesses, industrial facilities, hospitals, etc.
10. Describe your communication and response plans for the protection of the health and welfare of all neighbors.

11. List all major equipment suppliers under consideration.
12. Describe any elements of your ~~plant~~ Project design and layout that is non-standard within the industry.
13. Do you plan to use off-the-shelf control software? If so, please describe.
14. Will any major equipment components have a commercial track record of less than 2-years?
15. List all hazardous/toxic chemicals that will be stored or generated on site, and describe associated mitigation plans.
16. Describe the fire risk and mitigation plan
17. Describe explosion risk and mitigation plan.
- 4.18. Describe any other hazard or risk to public, and the associated mitigation plans.