

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298



November 10, 2011

Advice Letters 3508-E-A and 3508-E-B

Brian K. Cherry
Vice President, Regulation and Rates
Pacific Gas and Electric Company
77 Beale Street, Mail Code B10C
P.O. Box 770000
San Francisco, CA 94177

**Subject: Supplemental Filings – Revisions to Electric Rule 21 – Generating
Facility Interconnections, Section D**

Dear Mr. Cherry:

Advice Letters 3508-E-A and 3508-E-B are effective September 22, 2011 per
Resolution E-4411.

Sincerely,

A handwritten signature in cursive script that reads "Edward F. Randolph".

Edward F. Randolph, Director
Energy Division



Jane K. Yura
Vice President
Regulation and Rates

Pacific Gas and Electric Company
77 Beale St., Mail Code B10B
P.O. Box 770000
San Francisco, CA 94177

Fax: 415.973.6520

March 28, 2011

Advice 3508-E-A

(Pacific Gas and Electric Company ID U 39 G)

Public Utilities Commission of the State of California

**Subject: Revisions to Electric Rule 21 – *Generating Facility Interconnections*,
Section D**

Pacific Gas and Electric Company (“PG&E”) hereby submits for filing revisions to its electric tariffs. The affected tariff sheets are listed on the enclosed Attachment I.

Purpose

PG&E hereby submits for filing revisions to its Electric Rule 21 – *Generating Facility Interconnections*, Section D, to afford more flexibility in determining the requirements for generating facilities interconnecting on PG&E’s secondary distribution system.

This supplemental filing cancels and supersedes Advice 3508-E in its entirety.

Background

On August 18, 2009, PG&E submitted Advice Letter (AL) 3508-E, “Revisions to Electric Rule 21-Generating Facility Interconnections, Section D,” to provide PG&E greater flexibility in determining generating facilities’ interconnection requirements.

In response to the filing on September 21, 2009, PG&E received protests from the Division of Ratepayer Advocates¹ (“DRA”) and the Interstate Renewable Energy Council (“IREC”).²

On September 28, 2009, PG&E sent out responses to the DRA’s and IREC’s protest of AL 3508-E. Subsequently, the Energy Division suspended the AL 3508-E, asking PG&E to work with Southern California Edison (“SCE”) and San Diego Gas & Electric

¹ Monday, September 21, 2009, 2:26 PM, #399551, DRA Protest, Letter Rule 21 interconnection standards, PG&E 3508-E, 9-21-09 - Linda Serizawa, Program Manager, Electricity Pricing and Customer Programs Branch, Division of Ratepayer Advocates.

² Kevin T. Fox, Attorney for the Interstate Renewable Energy Council, Keyes & Fox, LLP.

Company (“SDG&E”) to develop consistent changes to all three investor-owned utilities’ (“IOUs”) Rule 21s, and to address the concerns raised in the protests.

DRA’s concerns focused on two areas: First, DRA believes, “PG&E should not have interconnection requirements that differ from those of the other California Investor Owned Utilities (IOUs)”;

and second, DRA wants PG&E to follow the Commission’s “well-established committee process in place for Rule 21 tariff changes....”

IREC concerns were in the following areas:

First, like DRA, IREC also would like to maintain the consistency of Rule 21 among the three IOUs.

Second, IREC contends that PG&E’s proposed changes to Rule 21, Section D.3, would permit PG&E to “...abandon the current, clearly-defined standard for determining whether a dedicated transformer is required and replace that with broad discretionary language that is not tethered to any identifiable standard or metric.” IREC believes this would grant PG&E new discretion to require a dedicated transformer when interconnecting generating facilities under 20 kVA, despite the fact that none is currently required and consequently grants PG&E broad discretion to deny an interconnection.

Third, IREC takes issue with the Point of Common Coupling (“PCC”) voltage Rule 21 D.2.b changes, asserting that voltage “...is almost entirely within the control of PG&E...” and IREC did not want PG&E to allocate to the generator “...the cost of expensive network upgrades...” if voltage problems are utility related.

Finally, IREC notes these voltage limits are “...particularly problematic for inverter-based systems because existing standards prohibit inverters from controlling voltage at the PCC.”

In the intervening time since August 2009, PG&E has worked extensively with SCE and SDG&E to make consistent changes to their respective Rule 21, and PG&E’s understanding is that each of these utilities will be filing nearly identical changes to those contained in this filing. Additionally, PG&E has, on many occasions, discussed all changes with IREC and the Energy Division and actively solicited their comments and incorporated revisions based on their feedback into the proposed Rule 21 modifications in this filing and believes it has their support for the revised language in this supplemental filing.

PG&E believes this modified version of Rule 21 will afford PG&E greater flexibility to better serve its customers interconnecting smaller generators by no longer requiring upgrades without regard for whether they are needed for a particular situation. Additionally, PG&E agrees to provide more transparency and provide customers with an explanation of the reasons any measures are required, a key concern raised by the protesting parties.

PG&E looks forward to expeditious consideration of this advice letter since the IOUs have customers who are anxiously looking forward to approval and implementation of these changes.

Tariff Revisions

- (1) Eliminate Section D.3.d, which in many commonly occurring circumstances currently requires a customer installing a generating facility to pay for a dedicated transformer if the size of the generator exceeds approximately 20 kw, regardless of the size of the existing distribution transformer. New language will allow PG&E to consider the ratings of the existing distribution transformer in deciding when a dedicated transformer is required.

Section D.3.d currently reads:

Single-Phase Generators: For single-phase Generators connected to a shared single-phase secondary system, the maximum Net Nameplate Rating of the Generating Facilities shall be 20 kVA. Generators connected to a center-tapped neutral 240-volt service must be installed such that no more than 6 kVA of imbalanced power is applied to the two "legs" of the 240-volt service. For Dedicated Distribution Transformer services, the maximum Net Nameplate Rating of a single-phase Generating Facility shall be the transformer nameplate rating.

Section D.3.d is replaced with new Sections D.1.e. and D.1.f.:

D.1.e. The maximum aggregated Gross Ratings for all the Generating Facilities connected to a secondary distribution transformer shall not exceed the transformer rating, modified per established utility practice absent any customer generators. When PG&E's analysis determines a transformer change is required, PG&E will furnish the customer with an explanation of why the change is needed.

D.1.f. Generating facilities connected to a single-phase transformer with 120/240 volt secondary voltage must be installed such that the aggregated gross output is as balanced as practicable between the two phases of the 240 volt service. When PG&E's analysis determines a transformer change is required, PG&E will furnish the customer with an explanation of why the change is needed.

- (2) Modify Section D.2.b.1. - Clarifies the existing language for voltage setting for solar inverter based generators as well as other less-than 30 kw generators.

Section D.2.b.1. currently reads:

Generating Facilities (30 kVA or less): Generating Facilities with a Gross Nameplate Rating of 30 kVA or less shall be capable of operating within the voltage range normally experienced on PG&E's Distribution System. The operating range shall be selected in a manner that minimizes nuisance tripping between 106 volts and 132 volts on a 120-volt base (88%-110% of nominal voltage). Voltage shall be detected at either the PCC or the Point of Interconnection.

Section D.2.b.1 is modified to read:

Generating Facilities (30 kVA or less). Generating Facilities with a Gross Rating of 30 kVA or less shall be capable of operating within the voltage range normally experienced on PG&E's Distribution System from plus to minus 5% of the nominal voltage (e.g. 114 volts to 126 volts, on a 120 volt base), at the service panel or PCC. The trip settings at the generator terminals may be selected in a manner that minimizes nuisance tripping between 106 volts and 132 volts on a 120-volt base (88%-110% of nominal voltage) to compensate for voltage drop between the generator terminals and the PCC. Voltage may be detected at either the PCC or the Point of Interconnection. However, the voltage range at the PCC, with the generator on-line, shall stay within +/- 5% of nominal.

- (3) Modify Section D.2.b.2. clarifies voltage requirements for *Generating Facilities with a Gross Nameplate Rating of greater than 30 kVA*

Section D.2.b.2 currently reads:

Generating Facilities (greater than 30 kVA). PG&E may have specific operating voltage ranges for Generating Facilities with Gross Nameplate Ratings greater than 30 kVA, and may require adjustable operating voltage settings. In the absence of such requirements, the Generating Facility shall operate at a range between 88% and 110% of the applicable interconnection voltage. Voltage shall be detected at either the PCC or the Point of Interconnection, with settings compensated to account for the voltage at the PCC. Generating Facilities that are Certified Non-Islanding or that meet one of the options of the Export Screen

(Section 1.3.b) may detect voltage at the Point of Interconnection without compensation.

Modify Section D.2.b.2 to read:

Generating Facilities (greater than 30 kVA). PG&E may have specific operating voltage ranges for Generating Facilities with Gross Ratings greater than 30 kVA, and may require adjustable operating voltage settings. In the absence of such requirements, the Generating Facility shall be capable of operating at a range between 88% and 110% of the applicable interconnection voltage. Voltage shall be detected at either the PCC or the Point of Interconnection, with settings compensated to account for the voltage at the PCC. However, the voltage range at the PCC, with the generator on-line, shall stay within +/-5% of nominal.

- (4) Modify one entry in Table D.1 Voltage Trip Setting under Section D.2.b.3. to delete the row starting "Greater than or equal to 106 Volts but less than or equal to 132 Volts," as there are no trip times set in this range. In addition, minor formatting changes to the table and the table heading were made.
- (5) Modify Section D.2.i. clarifies language power factor language. Section D.2.i currently reads:

Power Factor: Each Generator in a Generating Facility shall be capable of operating at some point within a power factor range from 0.9 leading to 0.9 lagging. Operation outside this range is acceptable provided the reactive power of the Generating Facility is used to meet the reactive power needs of the Host Loads or that reactive power is otherwise provided under tariff by PG&E. The Producer shall notify PG&E if it is using the Generating Facility for power factor correction. Unless otherwise agreed upon by the Producer and PG&E, Generating Facilities shall automatically regulate power factor, not voltage, while operating in parallel with PG&E's Distribution System.

Section D.2.i is modified to read:

Power Factor: The Producer shall provide adequate reactive power compensation on site to maintain the generating facility power factor near unity at rated output or an PG&E specified power factor within a power factor range from 0.9 leading to 0.9 lagging, based on local system conditions. While not required, for generators that do not have inherent reactive power control capability, PG&E may offer reactive power support in the form of

power factor correction capacitors on its distribution system, under a Special Facilities agreement, as described in section E.3.a or Rule 2. I, as applicable.

- (6) Throughout Rule 21 replaced the term, "Gross Nameplate Rating" with "Gross Rating" and replaced the term "Net Nameplate Rating" with "Net Rating."
- (7) Other minor formatting changes were corrected.

Protests

Anyone wishing to protest this filing may do so by letter sent via U.S. mail, by facsimile or electronically, any of which must be received no later than **April 18, 2011**, which is 21 days after the date of this filing.³ Protests should be mailed to:

CPUC Energy Division
Tariff Files, Room 4005
DMS Branch
505 Van Ness Avenue
San Francisco, California 94102

Facsimile: (415) 703-2200
E-mail: jj@cpuc.ca.gov and mas@cpuc.ca.gov

Copies of protests also should be mailed to the attention of the Director, Energy Division, Room 4004, at the address shown above.

The protest also should be sent via U.S. mail (and by facsimile and electronically, if possible) to PG&E at the address shown below on the same date it is mailed or delivered to the Commission:

Jane K. Yura
Vice President, Regulation and Rates
Pacific Gas and Electric Company
77 Beale Street, Mail Code B10B
P.O. Box 770000
San Francisco, California 94177

Facsimile: (415) 973-6520
E-mail: PGETariffs@pge.com

³ Since the protest period falls on a weekend, PG&E is therefore moving the end of the protest period to the following business day.

Effective Date

PG&E requests that this advice filing become effective on regular notice, **April 27, 2011**, which is 30 calendar days after the date of filing.

Notice

In accordance with General Order 96-B, Section IV, a copy of this advice letter is being sent electronically and via U.S. mail to parties shown on the attached list and the parties on the service list for R.10-05-004 and A.10-03-001. Address changes to the General Order 96-B service list should be directed to PG&E at e-mail address PGETariffs@pge.com. For changes to any other service list, please contact the California Public Utilities Commission's Process Office at (415) 703-2021 or at Process_Office@cpuc.ca.gov. Send all electronic approvals to PGETariffs@pge.com. Advice letter filings can also be accessed electronically at: <http://www.pge.com/tariffs>.



Vice President, Regulation and Rates

cc: Service Lists R.10-05-004 and A.10-03-001

Attachments

CALIFORNIA PUBLIC UTILITIES COMMISSION

ADVICE LETTER FILING SUMMARY ENERGY UTILITY

MUST BE COMPLETED BY UTILITY (Attach additional pages as needed)

Company name/CPUC Utility No. **Pacific Gas and Electric Company (ID U39 M)**

Utility type:

ELC GAS
 PLC HEAT WATER

Contact Person: Linda Tom-Martinez

Phone #: (415) 973-4612

E-mail: lmt1@pge.com

EXPLANATION OF UTILITY TYPE

ELC = Electric GAS = Gas
PLC = Pipeline HEAT = Heat WATER = Water

(Date Filed/ Received Stamp by CPUC)

Advice Letter (AL) #: **3508-E-A**

Tier: **2**

Subject of AL: **Revisions to Electric Rule 21 – Generating Facility Interconnections, Section D**

Keywords (choose from CPUC listing): Text Changes

AL filing type: Monthly Quarterly Annual One-Time Other _____

If AL filed in compliance with a Commission order, indicate relevant Decision/Resolution #:

Does AL replace a withdrawn or rejected AL? If so, identify the prior AL: No

Summarize differences between the AL and the prior withdrawn or rejected AL¹: _____

Is AL requesting confidential treatment? If so, what information is the utility seeking confidential treatment for:

Confidential information will be made available to those who have executed a nondisclosure agreement: Yes No

Name(s) and contact information of the person(s) who will provide the nondisclosure agreement and access to the confidential information: _____

Resolution Required? Yes No

Requested effective date: **April 27, 2011**

No. of tariff sheets: 77

Estimated system annual revenue effect (%): N/A

Estimated system average rate effect (%): N/A

When rates are affected by AL, include attachment in AL showing average rate effects on customer classes (residential, small commercial, large C/I, agricultural, lighting).

Tariff schedules affected: Electric Rule 21

Service affected and changes proposed¹: N/A

Pending advice letters that revise the same tariff sheets: N/A

Protests, dispositions, and all other correspondence regarding this AL are due no later than 20 days after the date of this filing, unless otherwise authorized by the Commission, and shall be sent to:

CPUC, Energy Division

Tariff Files, Room 4005

DMS Branch

505 Van Ness Ave.,

San Francisco, CA 94102

jnj@cpuc.ca.gov and mas@cpuc.ca.gov

Pacific Gas and Electric Company

Attn: Jane Yura

Vice President, Regulation and Rates

77 Beale Street, Mail Code B10B

P.O. Box 770000

San Francisco, CA 94177

E-mail: PGETariffs@pge.com

**ATTACHMENT 1
Advice 3508-E-A**

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
30177-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 1	23678-E*
30178-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 2	23679-E*
30179-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 3	23680-E
30180-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 4	23681-E*
30181-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 5	23682-E
30182-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 6	24591-E**
30183-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 7	26146-E
30184-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 8	23684-E
30185-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 9	23685-E
30186-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 10	23686-E*
30187-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 11	24026-E
30188-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 12	23688-E

**ATTACHMENT 1
Advice 3508-E-A**

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
30189-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 13	
30190-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 14	23689-E
30191-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 15	
30192-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 16	23690-E
30193-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 17	23691-E
30194-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 18	23692-E
30195-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 19	23693-E
30196-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 20	23694-E*
30197-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 21	
30198-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 22	23695-E
30199-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 23	23696-E
30200-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 24	24592-E*

**ATTACHMENT 1
Advice 3508-E-A**

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
30201-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 25	24593-E*
30202-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 26	23698-E
30203-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 27	23699-E
30204-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 28	24594-E**
30205-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 29	24595-E*
30206-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 30	24596-E*
30207-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 31	26147-E
30208-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 32	24598-E*
30209-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 33	24599-E*
30210-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 34	
30211-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 35	
30212-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 36	23704-E

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
30213-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 37	23705-E*
30214-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 38	24600-E*
30215-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 39	
30216-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 40	24601-E*
30217-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 41	23708-E
30218-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 42	
30219-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 43	23709-E
30220-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 44	23710-E
30221-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 45	23711-E
30222-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 46	26148-E
30223-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 47	23713-E*
30224-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 48	

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
30225-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 49	23714-E*
30226-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 50	
30227-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 51	23715-E
30228-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 52	
30229-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 53	23716-E
30230-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 54	23717-E
30231-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 55	
30232-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 56	23718-E
30233-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 57	24028-E
30234-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 58	23720-E
30235-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 59	23721-E*
30236-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 60	

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
30237-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 61	23722-E*
30238-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 62	23723-E
30239-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 63	23724-E
30240-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 64	23725-E
30241-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 65	23726-E
30242-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 66	
30243-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 67	23727-E
30244-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 68	23728-E*
30245-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 69	23729-E
30246-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 70	23730-E
30247-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 71	23731-E
30248-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 72	23732-E

**ATTACHMENT 1
Advice 3508-E-A**

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
30249-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 73	23733-E
30250-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 74	23734-E
30251-E	ELECTRIC RULE NO. 21 GENERATING FACILITY INTERCONNECTIONS Sheet 75	23735-E
30252-E	ELECTRIC TABLE OF CONTENTS Sheet 1	29948-E
30253-E	ELECTRIC TABLE OF CONTENTS RULES Sheet 20	29908-E



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 1

A. APPLICABILITY

(T)

Applicability. This Rule describes the Interconnection, operating and Metering requirements for Generating Facilities to be connected to Pacific Gas and Electric's (PG&E) Distribution System over which the California Public Utilities Commission (Commission) has jurisdiction. Subject to the requirements of this Rule, PG&E will allow the Interconnection of Generating Facilities with its Distribution System.

Definitions. Capitalized terms used in this Rule, and not defined in PG&E's other tariffs, shall have the meaning ascribed to such terms in Section H of this Rule. The definitions set forth in Section H of this Rule shall only apply to this Rule and may not apply to PG&E's other tariffs.

Consistent with IEEE 1547, this Rule has been revised to be consistent with the requirements of ANSI/IEEE¹ 1547-2003 *Standard for Interconnecting Distributed Resources with Electric Power Systems* (IEEE 1547). In some cases, IEEE 1547 language has been adopted directly, in others, IEEE 1547 requirements were interpreted and this Rule's language was changed to maintain the spirit of both documents.

Language from IEEE 1547 that has been adopted directly (as opposed to paraphrased language or previous language that was determined to be consistent with IEEE 1547) is followed by a citation that lists the clause from which the language derived. For example, IEEE1547-4.1.1 is a reference to Clause 4.1.1.

In the event of any conflict between this Rule and any of the standards listed herein, the requirements of this Rule shall take precedence.

B. GENERAL RULES, RIGHTS AND OBLIGATIONS

(T)

1. Authorization Required to Operate. A Producer must comply with this Rule, execute an Interconnection Agreement with PG&E, and receive PG&E's express written permission before Parallel Operation of its Generating Facility with PG&E's Distribution System. PG&E shall apply this Rule in a non-discriminatory manner and shall not unreasonably withhold its permission for Parallel Operation of Producer's Generating Facility with PG&E's Distribution System.

¹ ANSI – American National Standards Institute, IEEE – Institute of Electrical and Electronic Engineers.

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 2

B. GENERAL RULES, RIGHTS AND OBLIGATIONS (Cont'd.)

(T)

2. Separate Agreements Required for Other Services. A Producer requiring other electric services from PG&E including, but not limited to, Distribution Service provided by PG&E during periods of curtailment or interruption of the Producer's Generating Facility, will enter into agreements with PG&E for such services in accordance with PG&E's Commission-approved tariffs.
3. Service Not Provided With Interconnection. Interconnection with PG&E's Distribution System under this Rule does not provide a Producer any rights to utilize PG&E's Distribution System for the transmission, distribution, or wheeling of electric power, nor does it limit those rights.
4. Compliance With Laws, Rules and Tariff Schedules. A Producer shall ascertain and comply with applicable Commission-approved tariffs of PG&E; applicable Federal Energy Regulatory Commission (FERC) approved rules, tariffs and regulations; and any local, state or federal law, statute or regulation which applies to the design, siting, construction, installation, operation, or any other aspect of the Producer's Generating Facility and Interconnection Facilities.

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 3

B. GENERAL RULES, RIGHTS AND OBLIGATIONS (Cont'd.)

(T)

5. Design Reviews and Inspections. PG&E shall have the right to review the design of a Producer's Generating and Interconnection Facilities and to inspect a Producer's Generating and/or Interconnection Facilities prior to the commencement of Parallel Operation with PG&E's Distribution System. PG&E may require a Producer to make modifications as necessary to comply with the requirements of this Rule. PG&E's review and authorization for Parallel Operation shall not be construed as confirming or endorsing the Producer's design or as warranting the Generating and/or Interconnection Facilities' safety, durability or reliability. PG&E shall not, by reason of such review or lack of review, be responsible for the strength, adequacy or capacity of such equipment.
6. Right to Access. A Producer's Generating Facility and Interconnection Facilities shall be reasonably accessible to PG&E personnel as necessary for PG&E to perform its duties and exercise its rights under its tariffs approved by the Commission, and any Interconnection Agreement between PG&E and the Producer.
7. Confidentiality of Information. Any information pertaining to Generating and/or Interconnection Facilities provided to PG&E by a Producer shall be treated by PG&E in a confidential manner. PG&E shall not use information contained in the Application to propose discounted tariffs to the customer unless authorized to do so by the Customer or the information is provided to PG&E by the Customer through other means.
8. Prudent Operation and Maintenance Required. A Producer shall operate and maintain its Generating Facility and Interconnection Facilities in accordance with Prudent Electrical Practices and shall maintain compliance with this Rule.
9. Curtailment and Disconnection. PG&E may limit the operation or disconnect or require the disconnection of a Producer's Generating Facility from PG&E's Distribution System at any time, with or without notice, in the event of an Emergency, or to correct Unsafe Operating Conditions. PG&E may also limit the operation or disconnect or require the disconnection of a Producer's Generating Facility from PG&E's Distribution System upon the provision of reasonable written notice: (1) to allow for routine maintenance, repairs or modifications to PG&E's Distribution System; (2) upon PG&E's determination that a Producer's Generating Facility is not in compliance with this Rule; or (3) upon termination of the Interconnection Agreement. Upon the Producer's written request, PG&E shall provide a written explanation of the reason for such curtailment or disconnection.

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 4

C. APPLICATION AND INTERCONNECTION PROCESS (T)

1. APPLICATION PROCESS (T)

- a. Applicant Initiates Contact With PG&E. Upon request, PG&E will provide information and documents (such as sample agreements, Application, technical information, listing of Certified Equipment, Initial and Supplemental Review fee information, applicable tariff schedules and Metering requirements) to a potential Applicant. Unless otherwise agreed upon, all such information shall normally be sent to the Applicant within three (3) business days following the initial request from the Applicant. PG&E will establish an individual representative as the single point of contact for the Applicant, but may allocate responsibilities among its staff to best coordinate the Interconnection of an Applicant's Generating Facility.
- b. Applicant Completes an Application. All Applicants shall complete and file an Application and supply any relevant additional information requested by PG&E. When applicable per Table C.1, an \$800 Initial Review fee shall be included with the Application.
 - 1) Normally, within 10 business days of receiving the Application, PG&E shall acknowledge its receipt and state whether the Application has been completed adequately. If defects are noted, PG&E and Applicant shall cooperate to establish a satisfactory Application.
 - 2) The Initial Review fee shall be waived for Applications requesting Interconnection pursuant to Sections 2827, 2827.8, 2827.9, or 2827.10 of the Public Utilities Code, and for solar powered Generating Facilities that do not sell power to the grid per Commission Decision (D.) 01-07-027.
 - 3) Fifty percent of the fees associated with the Initial Review will be returned to the Applicant if the Application is rejected by PG&E or the Applicant retracts the Application.
 - 4) Applications that are over one year old (from the date of PG&E's acknowledgement) without a signed Interconnection Agreement, or a Generating Facility that has not been approved for parallel operation within one year of completion of all applicable review and/or studies are subject to cancellation by PG&E; however, PG&E may not cancel an Application if the Producer provides reasonable evidence that the project is still active.
 - 5) The Applicant may propose, and PG&E may agree to, reduced costs for reviewing atypical Applications, such as Applications submitted for multiple Generators, multiple sites, or otherwise as conditions warrant.

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 5

C. APPLICATION AND INTERCONNECTION PROCESS (Cont'd.) (T)

1. APPLICATION PROCESS (Cont'd.)

c. PG&E Performs an Initial and Supplemental Review and Develops Preliminary Cost Estimates and Interconnection Requirements.

- 1) Upon receipt of a satisfactorily completed Application and any additional information necessary to evaluate the Interconnection of a Generating Facility, PG&E shall perform an Initial Review using the process defined in Section I. The Initial Review determines if: (a) the Generating Facility qualifies for Simplified Interconnection; or (b) the Generating Facility requires a Supplemental Review.
- 2) PG&E shall complete its Initial Review, absent any extraordinary circumstances, within 10 business days after its determination that the Application is complete. If the Initial Review determines the proposed Generating Facility can be Interconnected by means of a Simplified Interconnection, PG&E will provide the Applicant with an Interconnection Agreement for Applicant's signature.
- 3) If the Generating Facility does not pass the Initial Review for Simplified Interconnection as proposed, PG&E will notify the Applicant and perform a Supplemental Review as described in Section I. Applicant shall pay an additional \$600 for the Supplemental Review, unless the application is withdrawn. The Supplemental Review will result in PG&E providing either: (a) Interconnection requirements beyond those for a Simplified Interconnection, and an Interconnection Agreement for Applicant's signature; or (b) a cost estimate and schedule for an Interconnection Study. The Supplemental Review shall be completed, absent any extraordinary circumstances, within 20 business days of receipt of a completed Application and fees.

The Supplemental Review fee shall be waived for Applications requesting Interconnection pursuant to Sections 2827, 2827.8, 2827.9, or 2827.10 of the Public Utilities Code, and for solar powered Generating Facilities that do not sell power to the grid, per Commission Decision 01-07-027.

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 6

C. APPLICATION AND INTERCONNECTION PROCESS (Cont'd.) (T)

1. APPLICATION PROCESS (Cont'd.)

- d. When Required, Applicant and PG&E Commit to Additional Interconnection Study Steps.

When a Supplemental Review reveals that the proposed Generating Facility cannot be interconnected to PG&E's Distribution System by means of a Simplified Interconnection, or that significant interconnection Facilities installed on PG&E's system or Distribution System modifications will be needed to accommodate an Applicant's Generating Facility, PG&E and Applicant shall enter into an agreement that provides for PG&E to perform additional studies, facility design and engineering, and to provide a fixed price or an estimate for actual cost billing to the Applicant, at the Applicant's expense. The Interconnection Study agreement shall set forth PG&E's estimated schedule and charges for completing such work. Interconnection Study fees for solar generating facilities up to 1 megawatt (MW) that do not sell power to the grid will be waived up to the amount of \$5,000. Generating Facilities eligible for Net Energy Metering under Public Utilities Code Section 2827, 2827.8, 2827.9, or 2827.10 are exempt from any costs associated with Interconnection Studies.

TABLE C.1 Summary of Fees and Exemptions

Generating Facility Type	Initial Review Fee	Supplemental Review Fee	Interconnection Study Fees	Additional Commissioning Test Verification**
Non-Net Energy Metering	\$800*	\$600	As Specified by PG&E	\$113/ Person Hour
Net Energy Metering (per Public Utilities Code Sections 2827, 2827.8, 2827.9, or 2827.10)	\$0	\$0	\$0	N/A
Solar 1 MW or less that does not sell power to the grid (per D.01-07-027)	First \$5,000 of total review and study fees waived			\$113/ Person Hour
<p>* Subject to 50% refund pursuant to Section C.1.b.3. ** The rate indicated is the maximum labor rate as PG&E utilizes multiple job classifications to perform this work.</p>				

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 7

C. APPLICATION AND INTERCONNECTION PROCESS (Cont'd.)

(T)

1. APPLICATION PROCESS (Cont'd.)

d. When Required, Applicant and PG&E Commit to Additional Interconnection Study Steps. (Cont'd.)

Table C.2 Summary of Producer Cost Responsibility for Multiple Tariff Interconnections

Existing Generator	New Generator	Initial Review Fee		Supplemental Review Fee		Detailed Interconnection Study Cost		Interconnection Facilities Cost		Distribution System Modifications Cost	
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
NEM	Non-NEM	X		X		X		X		X ^a	
NEM	NEM		X		X		X	X			X
Non-NEM	NEM		X ^b		X ^b		X ^b	X			X ^{a,b}
Simultaneous NEM and Non-NEM		X		X		X		X		X ^a	

- a) Proration will be based upon the annual expected energy output (kWh) derived from the installed nameplate of the generator(s) modified by technology-specific capacity/availability factors, of NEM versus non-NEM generators for the costs that cannot be clearly assigned to either type of tariff.
- b) Change of operation of a non-NEM eligible generator at any time to exporting is treated as a simultaneous NEM and non-NEM application, resulting in associated costs being allocated to the producer.

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 8

C. APPLICATION AND INTERCONNECTION PROCESS (Cont'd.) (T)

2. INTERCONNECTION PROCESS

- a. Applicant and PG&E Enter Into an Interconnection Agreement and, Where Required, a Financing and Ownership Agreement for Interconnection Facilities or Distribution System Modifications.

PG&E shall provide the Applicant with an executable version of the Interconnection Agreement or Net Energy Metering agreement appropriate for the Applicant's Generating Facility and desired mode of operation. Where the Supplemental Review or Interconnection Study performed by PG&E has determined that modifications or additions to its Distribution System are required, or that additional Interconnection Facilities will be necessary to accommodate an Applicant's Generating Facility, PG&E may also provide the Applicant with other Interconnection Facilities financing and ownership agreements. These agreements shall set forth PG&E and the Applicant's responsibilities, completion schedules, and fixed price or estimated costs for the required work.

- b. Where Applicable, PG&E or Producer Installs Required Interconnection Facilities or Modifies PG&E's Distribution System.

After executing the applicable agreements, PG&E or Producer will commence construction/ installation of PG&E's Distribution System modifications or Interconnection Facilities which have been identified in the agreements. The parties will use good faith efforts to meet schedules and estimated costs as appropriate.

- c. Producer Arranges for and Completes Commissioning Testing of Generating Facility and Producer's Interconnection Facilities.

The Producer is responsible for testing new Generating Facilities and associated Interconnection Facilities according to Section J.5 to ensure compliance with the safety and reliability provisions of this Rule prior to being operated in parallel with PG&E's Distribution System. For non-Certified Equipment, the Producer shall develop a written testing plan to be submitted to PG&E for its review and acceptance. Alternatively, the Producer and PG&E may agree to have PG&E conduct the required testing at the Producer's expense. Where applicable, the test plan shall include the installation test procedures published by the manufacturer of the generation or Interconnection equipment. Facility testing shall be conducted at a mutually agreeable time, and depending on who conducts the test, PG&E or Producer shall be given the opportunity to witness the tests.

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 9

C. APPLICATION AND INTERCONNECTION PROCESS (Cont'd.) (T)

2. INTERCONNECTION PROCESS (Cont'd.)

d. PG&E Authorizes Parallel Operation or Momentary Parallel Operation.

PG&E shall authorize the Producer's Generating Facility for Parallel Operation or Momentary Parallel Operation with PG&E's Distribution System, in writing, within 5 calendar days of satisfactory compliance with the terms of all applicable agreements. Compliance may include, but not be limited to, provision of any required documentation and satisfactorily completing any required inspections or tests as described herein or in the agreements formed between the Producer and PG&E. A Producer shall not commence Parallel Operation of its Generating Facility with PG&E's system unless it has received PG&E's express written permission to do so.

For Generating Facilities qualifying for service under Public Utilities Code Sections 2827 and 2827.8, PG&E authorization for Parallel Operation shall normally be provided no later than 30 business days following PG&E's receipt of: (1) a completed Net Energy Metering Application including all supporting documents and required payments; (2) a completed signed Net Energy Metering Interconnection Agreement; and (3) evidence of the Producer's final inspection clearance from the governmental authority having jurisdiction over the Generating Facility. If the 30-day period cannot be met, PG&E shall notify the Applicant and the Commission.

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 10

D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (T)

This section has been revised to be consistent with the requirements of ANSI/IEEE 1547-2003 *Standard for Interconnecting Distributed Resources with Electric Power Systems* (IEEE 1547). Exceptions are taken to IEEE 1547 Clauses 4.1.4.2 Distribution Secondary Spot Networks and Clauses 4.1.8.1 or 5.1.3.1, which address Protection from Electromagnetic Interference. These are being studied for inclusion in a subsequent version of this Rule. Also, Rule 21 does not adopt the Generating Facility power limitation of 10 MW incorporated in IEEE 1547.

1. GENERAL INTERCONNECTION AND PROTECTIVE FUNCTION REQUIREMENTS

The Protective Functions and requirements of this Rule are designed to protect PG&E's Distribution System and not the Generating Facility. A Producer shall be solely responsible for providing adequate protection for its Generating Facility and Interconnection Facilities. The Producer's Protective Functions shall not impact the operation of other Protective Functions utilized on PG&E's Distribution System in a manner that would affect PG&E's capability of providing reliable service to its Customers.

a. Protective Functions Required. Generating Facilities operating in parallel with PG&E's Distribution System shall be equipped with the following Protective Functions to sense abnormal conditions on PG&E's distribution system and cause the Generating Facility to be automatically disconnected from PG&E's Distribution System or to prevent the Generating Facility from being connected to PG&E's Distribution System inappropriately:

- 1) Over and under voltage trip functions and over and under frequency trip functions;

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 11

D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (Cont'd.) (T)

1. GENERAL INTERCONNECTION AND PROTECTIVE FUNCTION REQUIREMENTS (Cont'd.) (T)

a. Protective Functions Required (Cont'd.)

- 2) A voltage and frequency sensing and time-delay function to prevent the Generating Facility from energizing a de-energized Distribution System circuit and to prevent the Generating Facility from reconnecting with PG&E's Distribution System unless PG&E's Distribution System service voltage and frequency is within the ANSI C84.1-1995 Table 1 Range B Voltage Range of 106V to 127V on a 120V basis, inclusive, and a frequency range of 59.3 Hz to 60.5 Hz, inclusive, and are stable for at least 60 seconds, and
- 3) A function to prevent the Generating Facility from contributing to the formation of an Unintended Island, and cease to energize the PG&E system within two seconds of the formation of an Unintended Island.

The Generating Facility shall cease to energize PG&E's Distribution System for faults on PG&E's Distribution System circuit to which it is connected (IEEE1547-4.2.1). The Generating Facility shall cease to energize PG&E's Distribution circuit prior to reclosure by PG&E's Distribution System equipment (IEEE1547-4.2.2).

- b. Momentary Paralleling Generating Facilities. With PG&E's approval, the transfer switch or scheme used to transfer the Producer's loads from PG&E's Distribution System to Producer's Generating Facility may be used in lieu of the Protective Functions required for Parallel Operation.
- c. Suitable Equipment Required. Circuit breakers or other interrupting devices located at the Point of Common Coupling must be Certified or "Listed" (as defined in Article 100, the Definitions Section of the National Electrical Code) as suitable for their intended application. This includes being capable of interrupting the maximum available fault current expected at their location. Producer's Generating Facility and Interconnection Facilities shall be designed so that the failure of any one device shall not potentially compromise the safety and reliability of PG&E's Distribution System.

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 12

D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (Cont'd)

1. GENERAL INTERCONNECTION AND PROTECTIVE FUNCTION REQUIREMENTS (Cont'd) (T)

c. Suitable Equipment Required (Cont'd.)

The Generating Facility paralleling-device shall be capable of withstanding 220% of the Interconnection Facility rated voltage (IEEE 1547-4.1.8.3). The Interconnection Facility shall have the capability to withstand voltage and current surges in accordance with the environments defined in IEEE Std C62.41.2-2002 or IEEE Std C37.90.1-2002 as applicable and as described in J.3.e (IEEE 1547-4.1.8.2).

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 13

D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (Cont'd) (N)

1. GENERAL INTERCONNECTION AND PROTECTIVE FUNCTION REQUIREMENTS (Cont'd) (N)

d. Visible Disconnect Required: When required by PG&E's operating practices, the Producer shall furnish and install a ganged, manually-operated isolating switch (or a comparable device mutually agreed upon by PG&E and the Producer) near the Point of Interconnection to isolate the Generating Facility from PG&E's Distribution System. The device does not have to be rated for load break nor provide over-current protection. (L)

The device must:

- 1) allow visible verification that separation has been accomplished. (This requirement may be met by opening the enclosure to observe contact separation.)
- 2) include markings or signage that clearly indicate open and closed positions.
- 3) be capable of being reached quickly and conveniently 24 hours a day by PG&E personnel for construction, maintenance, inspection, testing or reading, without obstacles or requiring those seeking access to obtain keys, special permission, or security clearances.
- 4) be capable of being locked in the open position.
- 5) be clearly marked on the submitted single line diagram and its type and location approved by the PG&E prior to installation. If the device is not adjacent to the PCC, permanent signage must be installed at a PG&E-approved location providing a clear description of the location of the device.

Generating Facilities with Non-Islanding inverters totaling one (1) kilovolt-ampere (kVA) or less are exempt from this requirement. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 14

- D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (T)
 (Cont'd.) (T)
- 1. GENERAL INTERCONNECTION AND PROTECTIVE FUNCTION REQUIREMENTS (CONT'D.)
 - e. The maximum aggregated Gross Ratings for all the Generating Facilities connected to a secondary distribution transformer shall not exceed the transformer rating, modified per established utility practice absent any customer generators. When PG&E's analysis determines a transformer change is required, PG&E will furnish the customer with an explanation of why the change is needed. (N)
 - f. Generating facilities connected to a single-phase transformer with 120/240 volt secondary voltage must be installed such that the aggregated gross output is as balanced as practicable between the two phases of the 240 volt service, consistent with Electric Rule 2.D.4. When PG&E's analysis determines a transformer change is required, PG&E will furnish the customer with an explanation of why the change is needed. (N)
 - g. Drawings Required. Prior to Parallel Operation or Momentary Parallel Operation of the Generating Facility, PG&E shall approve the Producer's Protective Function and control diagrams. Generating Facilities equipped with a Protective Function and control scheme previously approved by PG&E for system-wide application or only Certified Equipment may satisfy this requirement by reference to previously approved drawings and diagrams. (T)
 - h. Generating Facility Conditions Not Identified. In the event this Rule does not address the Interconnection conditions for a particular Generating Facility, PG&E and Producer may agree upon other arrangements. (T)
 (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 15

D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (Cont'd.) (N)

2. PREVENTION OF INTERFERENCE (L)

The Producer shall not operate Generating or Interconnection Facilities that superimpose a voltage or current upon PG&E's Distribution System that interferes with PG&E operations, service to PG&E customers, or communication facilities. If such interference occurs, the Producer must diligently pursue and take corrective action at its own expense after being given notice and reasonable time to do so by PG&E. If the Producer does not take corrective action in a timely manner, or continues to operate the facilities causing interference without restriction or limit, PG&E may, without liability, disconnect the Producer's facilities from PG&E's Distribution System, in accordance with Section B.9 of this Rule. To eliminate undesirable interference caused by its operation, each Generating Facility shall meet the following criteria:

- a. Voltage Regulation. The Generating Facility shall not actively regulate the voltage at the PCC while in parallel with PG&E's Distribution System. The Generating Facility shall not cause the service voltage at other customers to go outside the requirements of ANSI C84.1-1995, Range A (IEEE 1547-4.1.1).
- b. Operating Voltage Range. The voltage ranges in Table D.1 define protective trip limits for the Protective Function and are not intended to define or imply a voltage regulation Function. Generating Facilities shall cease to energize PG&E's Distribution System within the prescribed trip time whenever the voltage at the PCC deviates from the allowable voltage operating range. The Protective Function shall detect and respond to voltage on all phases to which the Generating Facility is connected.

(L)

 (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 17

- D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (CONT'D.) (T) (L)
2. PREVENTION OF INTERFERENCE (Cont'd.)
- b. Operating Voltage Range. (Cont'd.)

Table D.1: Voltage Trip Settings			
Voltage at Generator Terminal or Point of Common Coupling (the Ranges Below Are Used to Trip the Generator During Abnormal Distribution System Conditions)		Maximum Trip Time ⁽¹⁾	
Assuming 120 V Base	% of Nominal Voltage	# of Cycles (Assuming 60 Hz Nominal)	Seconds
Less than 60 Volts	Less than 50%	10 Cycles	0.16 Seconds
Greater than or equal to 60 Volts but less than 106 Volts	Greater than or equal to 50% but less than 88%	120 Cycles	2 Seconds
Greater than 132 Volts but less than or equal to 144 Volts	Greater than 110% but less than or equal to 120%	60 Cycles	1 Second
Greater than 144 Volts	Greater than 120%	10 Cycles	0.16 Seconds

(1) "Maximum Trip time" refers to the time between the onset of the abnormal condition and the Generating Facility ceasing to energize PG&E's Distribution System. Protective Function equipment and circuits may remain connected to PG&E's Distribution System to allow sensing of electrical conditions for use by the "reconnect" feature. The purpose of the allowed time delay is to allow for a Generating Facility to minimize tripping during short-term system disturbances. Set points shall not be user adjustable for generating facilities less than 30 kW. For Generating Facilities with a Rating greater than 30 kVA, set points shall be field adjustable and different voltage set points and trip times from those in Table D.1 may be negotiated with PG&E.

(N)
 |
 (N)

(D)

(D)

(D)/(N)
 (D)

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 19

- D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (Cont'd.) (T) (L)
2. PREVENTION OF INTERFERENCE (Cont'd.) (T) |
- f. Frequency. (Cont'd.)

Table D.2: Frequency Trip Settings		
Generating Facility Rating	Frequency Range (Assuming 60 Hz Nominal)	Maximum Trip Time ⁽¹⁾ (Assuming 60 Cycles per Second)
Less or equal to 30 kW	Less than 59.3 Hz	10 Cycles
	Greater than 60.5 Hz	10 Cycles
Greater than 30 kW	Less than 57 Hz	10 Cycles
	Less than an adjustable value between 59.8 Hz and 57 Hz but greater than 57 Hz ²	Adjustable between 10 and 18,000 Cycles ^{2,3}
	Greater than 60.5 Hz	10 Cycles

- (1) "Maximum Trip time" refers to the time between the onset of the abnormal condition and the Generating Facility ceasing to energize PG&E's Distribution System. Protective Function sensing equipment and circuits may remain connected to PG&E's Distribution System to allow sensing of electrical conditions for use by the "reconnect" feature. The purpose of the allowed time delay is to allow a Generating Facility to "ride through" short-term disturbances to avoid nuisance tripping. Set points shall not be user adjustable (though they may be field adjustable by qualified personnel). For Generating Facilities with a Gross Nameplate Rating greater than 30 kVA, set points shall be field adjustable and different voltage set points and trip times from those in Table D.2 may be negotiated with PG&E.
- (2) Unless otherwise required by PG&E, a trip frequency of 59.3 Hz and a maximum trip time of 10 cycles shall be used.
- (3) When a 10-cycle maximum trip time is used, a second under frequency trip setting is not required.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 20

D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (Cont'd.) (T) (L)

2. PREVENTION OF INTERFERENCE (Cont'd.)

- g. Harmonics. When the Generating Facility is serving balanced linear loads, harmonic current injection into PG&E's Distribution System at the PCC shall not exceed the limits stated in Table D.3. The harmonic current injections shall be exclusive of any harmonic currents due to harmonic voltage distortion present in PG&E's Distribution System without the Generating Facility connected (IEEE 1547-4.3.3). The harmonic distortion of a Generating Facility shall be evaluated using the same criteria as for the Host Loads. (D) (D)

Table D.3 Maximum Harmonic Current Distortion in Percent of Current (I) ^(1,2)						
Individual Harmonic Order h , (odd harmonics) ³	$h < 11$	$11 \leq h < 17$	$17 \leq h < 23$	$23 \leq h < 35$	$35 \leq h$	Total demand distortion (TDD)
Max Distortion (%)	4.0	2.0	1.5	0.6	0.3	5.0

- (1) IEEE 1547-4.3.3
- (2) I = the greater of the maximum Host Load current average demand over 15 or 30 minutes without the GF, or the GF rated current capacity (transformed to the PCC when a transformer exists between the GF and the PCC).
- (3) Even harmonics are limited to 25% of the odd harmonic limits above.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 21

- D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (Cont'd.) (N)
- 2. PREVENTION OF INTERFERENCE (Cont'd.) (N)
 - h. Direct Current Injection. Generating Facilities should not inject direct current greater than 0.5% of rated output current into PG&E's Distribution System. (L)
 - i. Power Factor. The Producer shall provide adequate reactive power compensation on site to maintain the generating facility power factor near unity at rated output or a PG&E specified power factor within a power factor range from 0.9 leading to 0.9 lagging, based on local system conditions. While not required, for generating facilities that do not have inherent reactive power control capability, PG&E may offer reactive power support in the form of capacitors on its distribution system under a Special Facilities agreement as described in Rule 21 E.3.a or Rule 2.I, as applicable. (N)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 22

- D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (Cont'd.) (T) (L)
 - 3. TECHNOLOGY SPECIFIC REQUIREMENTS (T) |
 - a. Three-Phase Synchronous Generators. For three-phase Generators, the Generating Facility circuit breakers shall be three-phase devices with electronic or electromechanical control. The Producer shall be responsible for properly synchronizing its Generating Facility with PG&E's Distribution System by means of either manual or automatic synchronizing equipment. Automatic synchronizing is required for all synchronous Generators that have a Short Circuit Contribution Ratio (SCCR) exceeding 0.05. Loss of synchronism protection is not required except as may be necessary to meet D.2.d (Flicker) (IEEE 1547-4.2.5). Unless otherwise agreed upon by the Producer and PG&E, synchronous Generators shall automatically regulate power factor, not voltage, while operating in parallel with PG&E's Distribution System. A power system stabilization Function is specifically not required for Generating Facilities under 10 MW Net Rating. |
 - b. Induction Generators. Induction Generators (except self-excited Induction Generators) do not require a synchronizing Function. Starting or rapid load fluctuations on induction generators can adversely impact PG&E's Distribution System's voltage. Corrective step-switched capacitors or other techniques may be necessary and may cause undesirable ferro-resonance. When these counter measures (e.g., additional capacitors) are installed on the Producer's side of the Point of Common Coupling, PG&E must review these measures. Additional equipment may be required as determined in a Supplemental Review or an Interconnection Study. (D) |
 - c. Inverters. Utility-interactive inverters do not require separate synchronizing equipment. Non-utility-interactive or "stand-alone" inverters shall not be used for Parallel Operation with PG&E's Distribution System. |
- (L)
- (D)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 23

- D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS (Cont'd.) (T) (L)
- 4. SUPPLEMENTAL GENERATING FACILITY REQUIREMENTS
 - a. Fault Detection. A Generating Facility with an SCCR exceeding 0.1 or one that does not cease to energize PG&E's Distribution System within two seconds of the formation of an Unintended Island shall be equipped with Protective Functions designed to detect Distribution System faults, both line-to-line and line-to-ground, and shall cease to energize PG&E's Distribution System within two seconds of the initiation of a fault.
 - b. Transfer Trip. For a Generating Facility that cannot detect Distribution System faults (both line-to-line and line-to-ground) or the formation of an Unintended Island, and cease to energize PG&E's Distribution System within two seconds, PG&E may require a Transfer Trip system or an equivalent Protective Function.
 - c. Reclose Blocking. Where the aggregate Generating Facility capacity exceeds 15% of the peak load on any automatic reclosing device, PG&E may require additional Protective Functions, including, but not limited to reclose-blocking on some of the automatic reclosing devices.
- E. INTERCONNECTION FACILITIES AND DISTRIBUTION SYSTEM MODIFICATIONS OWNERSHIP AND FINANCING
 - 1. SCOPE AND OWNERSHIP OF INTERCONNECTION FACILITIES AND DISTRIBUTION SYSTEM MODIFICATIONS
 - a. Scope. Parallel Operation of Generating Facilities may require Interconnection Facilities or modifications to PG&E's Distribution System ("Distribution System modifications"). The type, extent and costs of Interconnection Facilities and Distribution System modifications shall be consistent with this Rule and determined through the Supplemental Review and/or Interconnection Studies described in Section C.
 - b. Ownership. Interconnection Facilities installed on Producer's side of the Point of Common Coupling (PCC) may be owned, operated and maintained by the Producer or PG&E. Interconnection Facilities installed on PG&E's side of the PCC and Distribution System modifications shall be owned, operated and maintained only by PG&E. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 24

- E. INTERCONNECTION FACILITIES AND DISTRIBUTION SYSTEM MODIFICATIONS (L)
- OWNERSHIP AND FINANCING (Cont'd.) |
- 2. RESPONSIBILITY OF COSTS OF INTERCONNECTING A GENERATING |
- FACILITY |
- a. Review, Study, and Additional Commissioning Test Verifications (pre-parallel |
- inspections) Costs. A Producer shall be responsible for the reasonably |
- incurred costs of the reviews, studies, and additional Commissioning Test |
- verifications (pre-parallel inspections) conducted pursuant to Section C of |
- this Rule. If the initial Commissioning Test verification (pre-parallel |
- inspection) is not successful through no fault of PG&E, PG&E may impose |
- upon the Producer a cost-based charge for subsequent Commissioning Test |
- verifications (pre-parallel inspections). All costs for additional |
- Commissioning Test verifications (pre-parallel inspections) shall be paid by |
- Producer within thirty days of receipt of PG&E's invoice. The invoice |
- provided by PG&E shall consist of the hourly rate multiplied by the hours |
- incurred by PG&E and will separately specify the amount of time spent on- |
- site from that spent in roundtrip travel to the project site. Additional costs, if |
- any, will be specified on the invoice. If the initial Commissioning Test |
- verification (pre-parallel inspection) is not successful through the fault of |
- PG&E, that visit will not be considered the initial Commissioning Test |
- verification (pre-parallel inspection). |
- b. Facility Costs. A Producer shall be responsible for all costs associated with |
- Interconnection Facilities owned by the Producer. The Producer shall also |
- be responsible for any costs reasonably incurred by PG&E in providing, |
- operating, or maintaining the Interconnection Facilities and Distribution |
- System modifications required solely for the Interconnection of the |
- Producer's Generating Facility with PG&E's Distribution System. Generating |
- Facilities eligible for Net Energy Metering under California Public Utilities |
- Code 2827, 2827.8, 2827.9, or 2827.10 are exempt from any costs |
- associated with Distribution System modifications. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 25

- E. INTERCONNECTION FACILITIES AND DISTRIBUTION SYSTEM MODIFICATIONS (L)
- OWNERSHIP AND FINANCING (Cont'd.)
- 2. RESPONSIBILITY OF COSTS OF INTERCONNECTING A GENERATING FACILITY (Cont'd.)
- c. Separation of Costs. Should PG&E combine the installation of Interconnection Facilities or Distribution System modifications required for the Interconnection of a Generating Facility with modifications to PG&E's Distribution System to serve other Customers or Producers, PG&E shall not include the costs of such separate or incremental facilities in the amounts billed to the Producer.
- d. Reconciliation of Costs and Payments. If the Producer selected a fixed price billing for the Interconnection Facilities or Distribution System modifications, no reconciliation will be necessary. If the Producer selected actual cost billing, a true-up will be required. Within a reasonable time after the Interconnection of a Producer's Generating Facility, PG&E will reconcile its actual costs related to the Generating Facility against any advance payments made by the Producer. The Producer will receive either a bill for any balance due or a reimbursement for overpayment as determined by PG&E's reconciliation. The Producer shall be entitled to a reasonably detailed and understandable accounting for the payments. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 26

- E. INTERCONNECTION FACILITIES OWNERSHIP AND DISTRIBUTION SYSTEM MODIFICATIONS AND FINANCING (Cont'd.) (L)
- 3. INSTALLATION AND FINANCING OF INTERCONNECTION FACILITIES AND DISTRIBUTION SYSTEM MODIFICATIONS
 - a. Agreement Required. The costs for Interconnection Facilities and Distribution System modifications shall be paid by the Producer pursuant to the provisions contained in the Interconnection Agreement. Where the type and extent of the Interconnection Facilities or Distribution System modifications warrant additional detail, Producer and PG&E shall execute separate agreement(s) to more fully describe and allocate the parties' responsibilities for installing, owning, operating and maintaining the Interconnection Facilities and Distribution System modifications. These separate agreements shall be the following: PG&E's "Agreement for Installation or Allocation of Special Facilities for Parallel Operation of Non-Utility Owned Generation and/or Standby Service" (PG&E Form 79-280) and it's Appendix A, "Detail of Special Facilities Charges" (PG&E Form 79-702), and PG&E's applicable tariff schedules and rules for Special Facilities.
 - b. Interconnection Facilities and Distribution System Modifications. Except as provided for in Sections E.2.b and E.3.c of this Rule, Interconnection Facilities connected to PG&E's side of the Point of Common Coupling and Distribution System modifications shall be provided, installed, owned and maintained by PG&E at Producer's expense.
 - c. Third-Party Installations. Subject to the approval of PG&E, a Producer may at its option, employ a qualified contractor to provide and install Interconnection Facilities or Producer paid Distribution System modifications, to be owned and operated by PG&E, on PG&E's side of the Point of Common Coupling. Such Interconnection Facilities and Distribution System modifications shall be installed in accordance with PG&E's design and specifications. Upon final inspection and acceptance by PG&E, the Producer shall transfer ownership of such Producer installed Interconnection Facilities or Distribution System modifications to PG&E and such facilities shall thereafter be owned and maintained by PG&E at the Producer's expense. The Producer shall pay PG&E's reasonable cost of design, administration, and monitoring of the installation for such facilities to ensure compliance with PG&E's requirements. The Producer shall also be responsible for all costs, including any income tax liability, associated with the transfer of Producer installed Interconnection Facilities and Distribution System modifications to PG&E. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 27

- E. INTERCONNECTION FACILITIES OWNERSHIP AND DISTRIBUTION SYSTEM MODIFICATIONS AND FINANCING (Cont'd.) (L)
- 3. INSTALLATION AND FINANCING OF INTERCONNECTION FACILITIES AND DISTRIBUTION SYSTEM MODIFICATIONS (Cont'd.)
 - d. Reservation of Unused Facilities. When a Producer wishes to reserve PG&E-owned Interconnection Facilities or Distribution System modifications installed and operated as Special Facilities for the Producer at Producer's expense, but idled by a change in the operation of the Producer's Generating Facility or otherwise, Producer may elect to abandon or reserve such facilities consistent with the terms of its agreement with PG&E. If Producer elects to reserve idle Interconnection Facilities or Distribution System modifications, PG&E shall be entitled to continue to charge Producer for the costs related to the ongoing operation and maintenance of the Special Facilities.
 - e. Refund of Salvage Value. When a Producer elects to abandon the Special Facilities for which it has either advanced the installed costs or constructed and transferred to PG&E, the Producer shall, at a minimum, receive from PG&E a credit for the net salvage value of the Special Facilities.
- F. METERING, MONITORING AND TELEMETRY
 - 1. GENERAL REQUIREMENTS

All Generating Facilities shall be metered in accordance with this Section F and shall meet all applicable standards of PG&E contained in PG&E's applicable tariffs and published PG&E manuals dealing with Metering specifications.
 - 2. METERING BY NON-PG&E PARTIES

The ownership, installation, operation, reading and testing of revenue Metering Equipment for Generating Facilities shall be by PG&E except to the extent that the Commission authorizes any or all of these services be performed by others. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 29

F. METERING, MONITORING AND TELEMETRY (Cont'd.) (L)

3. NET GENERATION OUTPUT METERING (NGOM) (Cont'd.)

The relevant factors in determining the need for NGOM are as listed below:

- a. Data requirements in proportion to need for information;
- b. Producer's election to install equipment that adequately addresses PG&E's operational requirements;
- c. Accuracy and type of required Metering consistent with purposes of collecting data;
- d. Cost of Metering relative to the need for and accuracy of the data;
- e. The Generating Facility's size relative to the cost of the Metering/monitoring;
- f. Other means of obtaining the data (e.g., Generating Facility logs, proxy data etc.); and
- g. Requirements under any Interconnection Agreement with the Producer.

The requirements in this section may not apply to Metering of Generating Facilities operating under PG&E's Net Energy Metering tariff pursuant to California Public Utilities Code Section 2827, et seq. Nothing in this Section F.3 supercedes Section B.4.

PG&E will report to the Commission or designated authority, on a quarterly basis, the rationale for requiring Net Generation Output Metering equipment in each instance along with the size and location of the facility. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 30

F. METERING, MONITORING AND TELEMETRY (Cont'd.) (L)

4. POINT OF COMMON COUPLING METERING

For purposes of assessing PG&E charges for retail service, the Producer's PCC Metering shall be reviewed by PG&E, and if required, replaced to ensure that it will appropriately measure electric power according to the provisions of the Customer's electric service tariff. Where required, the Customer's existing meter may be replaced with a bi-directional meter so that power deliveries to and from the Producer's site can be separately recorded. Alternately, the Producer may, at its sole option and cost, require PG&E to install multi-metering equipment to separately record power deliveries to PG&E's Distribution System and retail purchases from PG&E. Where necessary, such PCC Metering shall be designed to prevent reverse registration.

Generating Facilities eligible for Net Energy Metering under Public Utilities Code Section 2827, et seq. shall have metering provided pursuant to the terms of the applicable Net Energy Metering tariff schedule.

5. TELEMETERING

If the nameplate rating of the Generating Facility is 1 MW or greater, Telemetering equipment at the Net Generator Output Metering location may be required at the Producer's expense. If the Generating Facility is interconnected to a portion of PG&E's Distribution System operating at a voltage below 10 kV, then Telemetering equipment may be required on Generating Facilities 250 kW or greater. PG&E shall only require Telemetering to the extent that less intrusive and/or more cost effective options for providing the necessary data in real time are not available. PG&E will report to the Commission or designated authority, on a quarterly basis, the rationale for requiring Telemetering equipment in each instance along with the size and location of the facility.

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 31

F. METERING, MONITORING AND TELEMETRY (Cont'd.) (L)

6. LOCATION

Where PG&E-owned Metering is located on the Producer's premises, Producer shall provide, at no expense to PG&E, a suitable location for all such Metering Equipment.

7. COSTS OF METERING

The Producer will bear all costs of the Metering required by this Rule, including the incremental costs of operating and maintaining the Metering Equipment.

8. MULTIPLE TARIFF METERING

The requirements of Section F.3 may not apply where a Generating Facility includes multiple generators eligible for service under more than one Net Energy Metering (NEM) tariff schedule (e.g., schedules NEM, NEMBIO, NEMFC), or where a Generating Facility consists of one or more NEM eligible generator in combination with one or more non-NEM generators without non-export relays ("Reverse Power Protection"). To ensure proper tariff administration, metering will be required at the PCC and at each of the NEM Constituent Generator Groups. For combinations of multiple NEM eligible tariffs, all of which are located at a single premises, billing administration and metering requirements will be as specified in the appropriate NEM tariff schedule.

Where a Generating Facility consists of one or more NEM Constituent Generator Groups in combination with one or more non-NEM generators, metering of non-NEM generator(s) is not required, unless as specified in Section F.3.

G. DISPUTE RESOLUTION PROCESS

The following procedures will apply for disputes arising from this Rule:

1. The Commission shall have initial jurisdiction to interpret, add, delete or modify any provision of this Rule or of any agreements entered into between PG&E and the Producer to implement this tariff ("The Implementing Agreements") and to resolve disputes regarding PG&E's performance of its obligations under its tariffs, the applicable agreements, and requirements related to the Interconnection of the Producer's Generating or Interconnection Facilities pursuant to this Rule. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 32

- G. DISPUTE RESOLUTION PROCESS (Cont'd.) (L)
2. Any dispute arising between PG&E and the Producer (individually "Party" and collectively "the Parties") regarding PG&E's or Producer's performance of its obligations under its tariffs. The Implementing Agreements, and requirements related to the Interconnection of Producer's Facilities pursuant to this Rule shall be resolved according to the following procedures:
 - a. The dispute shall be reduced to writing by the aggrieved Party in a letter ("the dispute letter") to the other Party containing the relevant known facts pertaining to the dispute, the specific dispute and the relief sought, and express notice by the aggrieved Party that it is invoking the procedures under Section G.2. Upon the aggrieved Party notifying the other Party of the dispute, each Party must designate a representative with the authority to make decisions for its respective Party and a representative with the technical expertise for its respective Party to review the dispute within 7 calendar days. In addition, upon receipt of the dispute letter, PG&E shall provide the aggrieved Party all the relevant regulatory and/or technical detail regarding any PG&E interconnection requirements under dispute within 21 calendar days. Within 45 calendar days of the date of the dispute letter, the Parties' authorized representatives will be required to meet and confer to try to resolve the dispute.
 - b. If a resolution is not reached within 45 calendar days from the date of the dispute letter, either Party may request to: (1) continue negotiations for an additional 45 days or (2) make a written request to the Chief Administrative Law Judge of the Commission for mediation. Alternatively, both Parties by mutual agreement may request mediation from an outside third-party mediator with costs to be shared equally between the Parties.
 - c. If the Parties do not resolve their dispute within 90 calendar days after the date of the dispute letter, the Commission pursuant to the Commission's Rules of Practice and Procedure Applicable to Customer Complaints.
 3. Pending resolution of any dispute under this Section, the Parties shall proceed diligently with the performance of their respective obligations under this Rule and The Implementing Agreements, unless The Implementing Agreements have been terminated. Disputes as to the application and implementation of this Section shall be subject to resolution pursuant to the procedures set forth in this Section. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 33

- G. DISPUTE RESOLUTION PROCESS (Cont'd.) (L)
4. The California Energy Commission (CEC) will maintain a website for the purpose of public disclosure of the resolution of the disputes submitted pursuant to Section G.2. Within 30 calendar days of resolution of the dispute, PG&E will present to the Producer a summary of the dispute including project-specific parameters such as generator technology, generator size, requested operational protocol, voltage service level, circuit type, the disputed issue and the agreed-upon resolution including the executed resolution documents that are non-confidential, if any. If the Producer and PG&E reach agreement on the dispute summary, PG&E will forward it to the CEC for posting. If the Producer and PG&E cannot agree on the dispute summary within 30 calendar days, PG&E will notify the CEC that there was a dispute that was resolved but agreement was not reached between PG&E and the Producer on the dispute summary. (L)
- (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 34

H. DEFINITIONS

(L)

The definitions in this Section H are applicable only to this Rule, the Application and Interconnection Agreements.

Anti-Islanding: A control scheme installed as part of the Generating Facility or Interconnection Facilities that senses and prevents the formation of an Unintended Island.

Applicant: The entity submitting an Application for Interconnection pursuant to this Rule.

Application: A Commission-approved standard form submitted to PG&E for Interconnection of a Generating Facility.

Certification Test: A test pursuant to this Rule that verifies conformance of certain equipment with Commission-approved performance standards in order to be classified as Certified Equipment. Certification Tests are performed by NRTLs.

Certification; Certified; Certificate: The documented results of a successful Certification Testing.

Certified Equipment: Equipment that has passed all required Certification Tests.

Commission: The Public Utilities Commission of the State of California.

Commissioning Test: A test performed during the commissioning of all or part of a Generating Facility to achieve one or more of the following:

- Verify specific aspects of its performance;
- Calibrate its instrumentation; and
- Establish instrument or Protective Function set-points.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 35

H. DEFINITIONS (Cont'd.)

Customer: The entity that receives or is entitled to receive Distribution Service through the Distribution System. (L)

Dedicated Transformer; Dedicated Distribution Transformer: A transformer that provides electricity service to a single Customer. The Customer may or may not have a Generating Facility.

Device: A mechanism or piece of equipment designed to serve a purpose or perform a function. The term may be used interchangeably with the terms "equipment" and "function" without intentional difference in meaning. See also Function and Protective Function.

Distribution Service: All services required by, or provided to, a Customer pursuant to the approved tariffs of PG&E other than services directly related to the Interconnection of a Generating Facility under this Rule.

Distribution System: All electrical wires, equipment, and other facilities owned or provided by PG&E, other than Interconnection Facilities, by which PG&E provides Distribution Service to its Customers.

Emergency: An actual or imminent condition or situation, which jeopardizes PG&E's Distribution System Integrity. (L)

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 39

H. DEFINITIONS (Cont'd.)

Nationally Recognized Testing Laboratory (NRTL): A laboratory accredited to perform the Certification Testing requirements under this Rule.

Net Energy Metering: Metering for the receipt and delivery of electricity between the Producer and PG&E pursuant to Section 2827, 2827.8, 2827.9, or 2827.10 of the Public Utilities Code and Schedule NEM, Net Energy Metering.

Net Generation Output Metering: Metering of the net electrical power of energy output in kW or energy in kWh, from a given Generating Facility. This may also be the measurement of the difference between the total electrical energy produced by a Generator and the electrical energy consumed by the auxiliary equipment necessary to operate the Generator. For a Generator with no Host Load and/or Public Utilities Code Section 218 Load (Section 218 Load), Metering that is located at the Point of Common Coupling. For a Generator with Host Load and/or Section 218 Load, Metering that is located at the Generator but after the point of auxiliary load(s) and prior to serving Host Load and/or Section 218 Load.

Net Rating; or Net Nameplate Rating: The Gross Rating minus the consumption of electrical power of a Generator or Generating Facility as designated by the manufacturer(s) of the Generator(s).

Network Service: More than one electrical feeder providing Distribution Service at a Point of Common Coupling.

Non-Export; Non-Exporting: Designed to prevent the transfer of electrical energy from the Generating Facility to PG&E's Distribution System.

Non-Islanding: Designed to detect and disconnect from an Unintended Island with matched load and generation. Reliance solely on under/over voltage and frequency trip is not considered sufficient to qualify as Non-Islanding.

(L)

(L)

(N)

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 40

H. DEFINITIONS (Cont'd.)

(L)

Paralleling Device: An electrical device, typically a circuit breaker, operating under the control of a synchronization function or by a qualified operator to connect an energized generator to an energized electric power system or two energized power systems to each other.

Parallel Operation: The simultaneous operation of a Generator with power delivered or received by PG&E while Interconnected. For the purpose of this Rule, Parallel Operation includes only those Generating Facilities that are Interconnected with PG&E's Distribution System for more than 60 cycles (one second).

Periodic Test: A test performed on part or all of a Generating Facility/ Interconnection Facilities at pre-determined time or operational intervals to achieve one or more of the following: (1) Verify specific aspects of its performance; (2) Calibrate instrumentation; and (3) Verify and re-establish instrument or Protective Function set-points.

Point of Common Coupling (PCC): The transfer point for electricity between the electrical conductors of PG&E and the electrical conductors of the Producer.

Point of Common Coupling Metering: Metering located at the Point of Common Coupling. This is the same Metering as Net Generation Output Metering for Generating Facilities with no Host Load and/or Section 218 Load.

Point of Interconnection: The electrical transfer point between a Generating Facility and the Distribution System. This may or may not be coincident with the Point of Common Coupling.

Producer: The entity that executes an Interconnection Agreement with PG&E. The Producer may or may not own or operate the Generating Facility, but is responsible for the rights and obligations related to the Interconnection Agreement.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 42

H. DEFINITIONS (Cont'd.)

(L)

Scheduled Operation Date: The date specified in the Interconnection Agreement when the Generating Facility is, by the Producer's estimate, expected to begin operation pursuant to this Rule.

Secondary Network: A network supplied by several primary feeders suitably interlaced through the area in order to achieve acceptable loading of the transformers under emergency conditions and to provide a system of extremely high service reliability. Secondary networks usually operate at 600 V or lower.

Section 218 Load: Electrical power that is supplied in compliance with California Public Utilities Code (PUC) Section 218. PUC Section 218 defines an "Electric Corporation" and provides conditions under which a transaction involving a Generating Facility would not classify a Producer as an Electric Corporation. These conditions relate to "over-the-fence" sale of electricity from a Generating Facility without using PG&E's Distribution System.

Short Circuit (Current) Contribution Ratio (SCCR): The ratio of the Generating Facility's short circuit contribution to the short circuit contribution provided through PG&E's Distribution System for a three-phase fault at the high voltage side of the distribution transformer connecting the Generating Facility to PG&E's system.

Simplified Interconnection: Interconnection conforming to the minimum requirements under this Rule, as determined by Section I.

Single Line Diagram; Single Line Drawing: A schematic drawing, showing the major electric switchgear, Protective Function devices, wires, Generators, transformers and other devices, providing sufficient detail to communicate to a qualified engineer the essential design and safety of the system being considered.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 43

H. DEFINITIONS (Cont'd.)

(L)

Special Facilities: As defined in PG&E's Rules governing Special Facilities.

Starting Voltage Drop: The percentage voltage drop at a specified point resulting from In-rush Current. The Starting Voltage Drop can also be expressed in volts on a particular base voltage, (e.g. 6 volts on a 120-volt base, yielding a 5% drop).

Supplemental Review: A process wherein PG&E further reviews an Application that fails one or more of the Initial Review Process screens. The Supplemental Review may result in one of the following: (a) approval of Interconnection; (b) approval of Interconnection with additional requirements; or (c) cost and schedule for an Interconnection Study.

System Integrity: The condition under which a Distribution System is deemed safe and can reliably perform its intended functions in accordance with the safety and reliability rules of PG&E.

Telemetry: The electrical or electronic transmittal of Metering data in real-time to PG&E.

Transfer Trip: A Protective Function that trips a Generating Facility remotely by means of an automated communications link controlled by PG&E.

Type Test: A test performed on a sample of a particular model of a device to verify specific aspects of its design, construction and performance.

Unintended Island: The creation of an island, usually following a loss of a portion of PG&E's Distribution System, without the approval of PG&E.

Unsafe Operating Conditions: Conditions that, if left uncorrected, could result in harm to personnel, damage to equipment, loss of System Integrity or operation outside pre-established parameters required by the Interconnection Agreement.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 44

- I. REVIEW PROCESS FOR APPLICATIONS TO INTERCONNECT GENERATING FACILITIES (L)
 - 1. INTRODUCTION (T)

This Review Process allows for rapid approval for the Interconnection of those Generating Facilities that do not require an Interconnection Study. The review process includes a screening to determine if a Supplemental Review is required.

Note: Failure to pass any screen of the review process means only that further review and/or studies are required before the Generating Facility can be approved for Interconnection with PG&E's Distribution System. It does not mean that the Generating Facility cannot be interconnected. Though not explicitly covered in the Initial Review Process the Generating Facility shall be designed to meet all of the applicable requirements in Section D.
 - 2. PURPOSE (T)

The review determines the following:

 - a. If a Generating Facility qualifies for Simplified Interconnection;
 - b. If a Generating Facility can be made to qualify for Interconnection with a Supplemental Review determining any additional requirements; or
 - c. If an Interconnection Study is required, the cost estimate and schedule for performing the Interconnection Study. (L)

(Continued)



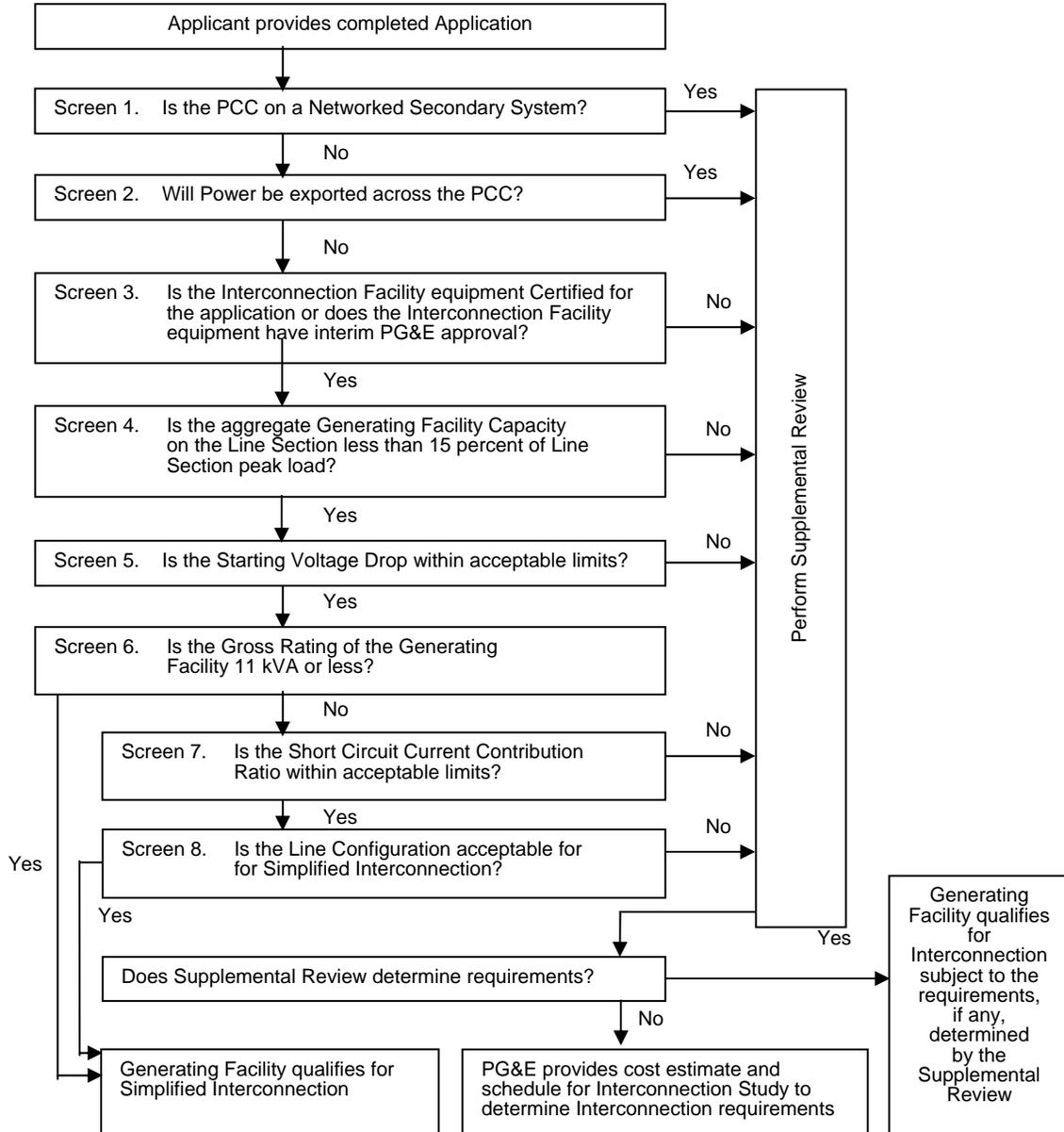
ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 45

I. REVIEW PROCESS FOR APPLICATIONS TO INTERCONNECT GENERATING FACILITIES (Cont'd.)

(L)

Initial and Supplemental Review Process Flow Chart



(D)

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 47

- I. REVIEW PROCESS FOR APPLICATIONS TO INTERCONNECT GENERATING FACILITIES (Cont'd.) (L)
 - 3. REVIEW PROCESS DETAILS (Cont'd.)
 - b. Screen 2: Will power be exported across the PCC? (Cont'd.)
- Option 3 ("Certified Non-Islanding Protection"): To insure the incidental export of power across the PCC is limited to acceptable levels, this option, when used, requires that all of the following conditions be met: (a) the total Gross Capacity of the Generating Facility must be no more than 25% of the nominal ampere rating of the Producer's service equipment; (D)
- (b) the total Gross Capacity of the Generating Facility must be no more than 50% of the Producer's service transformer capacity rating (this capacity requirement does not apply to customers taking primary service without an intervening transformer); and (c) the Generating Facility must be certified as Non-Islanding. (D)
- The ampere rating of the Customer's service equipment to be used in this evaluation will be that rating for which the customer's utility service was originally sized or for which an upgrade has been approved. It is not the intent of this provision to allow increased export simply by increasing the size of the customer's service panel, without separate approval for the resize. (L)
- (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 48

- I. REVIEW PROCESS FOR APPLICATIONS TO INTERCONNECT GENERATING FACILITIES (Cont'd.) (N)
(N)
- 3. REVIEW PROCESS DETAILS (Cont'd.) (N)
 - b. Screen 2: Will power be exported across the PCC? (Cont'd.) (L)
 - Option 4 ("Relative Generating Facility Rating"): This option, when used, requires Net Rating of the Generating Facility to be so small in comparison to its host facility's minimum load, that the use of additional Protective Functions is not required to insure that power will not be exported to PG&E's Distribution System. This option requires the Generating Facility capacity to be no greater than 50% of the Producer's verifiable minimum Host Load over the past 12 months. (D)
 - Significance:
 - 1) If it can be assured that the Generating Facility will not export power, PG&E's Distribution System does not need to be studied for Load-Carrying Capability or Generating Facility power flow effects on PG&E voltage regulators.
 - 2) This Screen permits the use of reverse-power or minimum-power relaying as a positive Non-Islanding Protective Function (Options 1, 2, and 3). (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 50

- | | | | |
|----|---|------------|---------|
| I. | REVIEW PROCESS FOR APPLICATIONS TO INTERCONNECT GENERATING FACILITIES (Cont'd.) | (N)
(N) | (L)
 |
| 3. | REVIEW PROCESS DETAILS (Cont'd.) | (N) | |
| | d. Screen 4: Is the aggregate Generating Facility capacity on the Line Section less than 15% of Line Section peak load? | | |
| | <ul style="list-style-type: none"> • If Yes, continue to next screen. • If No, the Generating Facility does not qualify for Simplified Interconnection. Perform Supplemental Review to determine cumulative impact on Line Section. | | |
| | Significance: | | |
| | 1) Low penetration of Generating Facility installations will have a minimal impact on the operation and load restoration efforts of PG&E's Distribution System. | | |
| | 2) The operating requirements for a high penetration of Generating Facilities may be different since the impact on PG&E's Distribution System will no longer be minimal, therefore requiring additional study or controls. | |
(L) |
| | | | (L) |

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 52

- I. REVIEW PROCESS FOR APPLICATIONS TO INTERCONNECT GENERATING FACILITIES (Cont'd.) (L)
- 3. REVIEW PROCESS DETAILS (Cont'd.)
- e. Screen 5: (Cont'd.)
- Significance:
- 1) This Screen addresses potential voltage fluctuation problems for Generators that start by motoring.
- 2) When starting, Generating Facilities should have minimal impact on the service voltage to other PG&E Customers.
- 3) Passing this screen does not relieve the Producer from ensuring that its Generating Facility complies with the flicker requirements of this Rule, Section D.2.d. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 53

- I. REVIEW PROCESS FOR APPLICATIONS TO INTERCONNECT GENERATING FACILITIES (Cont'd.) (N)
(N)
- 3. REVIEW PROCESS DETAILS (Cont'd.) (N)
- f. Screen 6: Is the Gross Rating of the Generating Facility 11 kVA or less? (D) (L)
 - If Yes, the Generating Facility qualifies for Simplified Interconnection. Skip remaining screens.
 - If No, continue to next screen.

Significance: The Generating Facility will have a minimal impact on fault current levels and any potential line overvoltages from loss of PG&E's Distribution System neutral grounding.
- g. Screen 7: Is the Short Circuit Current Contribution Ratio within acceptable limits?
 - If Yes, continue to next screen.
 - If No, the Generating Facility does not qualify for Simplified Interconnection. Perform Supplemental Review.

The Short Circuit Current Contribution Ratio Screen consists of two criteria; both of which must be met when applicable:

 - 1) When measured at primary side (high side) of a Dedicated Distribution Transformer serving a Generating Facility, the sum of the Short Circuit Contribution Ratios of all generating facilities connected to the Distribution System circuit that serves the Generating Facility must be less than or equal to 0.1, and (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 56

- (L)
- J. CERTIFICATION AND TESTING CRITERIA (L)
- 1. INTRODUCTION |
- This Section describes the test procedures and requirements for equipment used |
- for the Interconnection of Generating Facilities to PG&E's Distribution System. |
- Included are Type Testing, Production Testing, Commissioning Testing and |
- Periodic Testing. The procedures listed rely heavily on those described in |
- appropriate Underwriters Laboratory (UL), Institute of Electrical and Electronic |
- Engineers (IEEE), and International Electrotechnical Commission (IEC) |
- documents—most notably UL 1741 and IEEE 929, as well as the testing |
- described in *May 1999 New York State Public Services Commission* |
- Standardized Interconnection Requirements*. As noted in Section A, this Rule |
- has been revised to be consistent with ANSI/IEEE 1547-2003 *Standard for* |
- Interconnecting Distributed Resources with Electric Power Systems*. |
- The tests described here, together with the technical requirements in Section D |
- of this Rule, are intended to provide assurance that the Generating Facility's |
- equipment will not adversely affect PG&E's Distribution System and that a |
- Generating Facility will cease providing power to PG&E's Distribution System |
- under abnormal conditions. The tests were developed assuming a low level of |
- Generating Facility penetration or number of connections to PG&E's Distribution |
- System. At high levels of Generating Facility penetration, additional |
- requirements and corresponding test procedures may need to be defined. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 57

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)

1. INTRODUCTION (Cont'd.) |

Section J also provides criteria for “Certifying” Generators or inverters. Once a Generator or inverter has been Certified per this Rule, it may be considered suitable for Interconnection with PG&E’s Distribution System. Subject to the exceptions described in Section J, PG&E will not repeat the design review or require retesting of such Certified Equipment. It should be noted that the Certification process is intended to facilitate Generating Facility Interconnections. Certification is not a prerequisite to interconnect a Generating Facility. |

The revisions made to this Rule relative to IEEE 1547-2003 have resulted in changes in set points, test criteria, test procedures, and other requirements that will impact previously certified or listed equipment as well as equipment currently under evaluation. These changes were made to provide consistency with IEEE 1547. Equipment that is certified or that has been submitted to a Nationally Recognized Testing Laboratory (NRTL) for testing prior to the adoption of the revised Underwriters Laboratories (UL) 1741 standard titled “Inverters, Converters, Controllers and Interconnection Systems Equipment for use with Distributed Energy Resources” and that subsequently meets the previous Rule 21 Certification requirements will continue to be accepted as Certified Equipment for Interconnection Applications submitted through May 7, 2007, the effective date of the revised UL 1741 standard. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 58

- J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)
2. CERTIFIED AND NON-CERTIFIED INTERCONNECTION EQUIPMENT
- a. Certified Equipment
- Equipment tested and approved (e.g., "Listed") by an accredited NRTL as having met both the Type Testing and Production Testing requirements described in this document is considered to be Certified Equipment for purposes of Interconnection with PG&E's Distribution System. Certification may apply to either a pre-packaged system or an assembly of components that address the necessary functions. Type Testing may be done in the manufactures' factory or test laboratory, or in the field. At the discretion of the testing laboratory, field-Certification may apply only to the particular installation tested. In such cases, some or all of the tests may need to be repeated at other installations.
- When equipment is Certified by a NRTL, the NRTL shall provide to the manufacturer, at a minimum, a Certificate with the following information for each device:
- Administrative:
- 1) The effective date of Certification or applicable serial number (range or first in series), and/or other proof that Certification is current;
 - 2) Equipment model number(s) of the Certified Equipment;
 - 3) The software version utilized in the equipment, if applicable;
 - 4) Test procedures specified (including date or revision number); and
 - 5) Laboratory accreditation (by whom and to what standard). (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 60

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.)

(N)

3. TYPE TESTING

(L)

- a. Type Tests and Requirements for Interconnection Equipment Certification. Type Testing provides a basis for determining that equipment meets the specifications for being designated as Certified Equipment under this Rule. The requirements described in this Section cover only issues related to Interconnection and are not intended to address equipment safety or other issues.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 61

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.)

(L)

3. TYPE TESTING (Cont'd.)

a. Type Tests and Requirements for Interconnection Equipment Certification (Cont'd.)

Table J.1 defines the test requirements by Generator or inverter technology. While UL 1741(1) was written specifically for inverters, the requirements are readily adaptable to synchronous Generators, induction Generators, as well as single/multi-function controllers and protection relays. Until a universal test standard is developed, PG&E or NRTL shall adapt the procedures referenced Table J.1 as appropriate and necessary for a Generating Facility and/or Interconnection Facilities or associated equipment performance and its control and Protection Functions. The tests shall be performed in the sequence shown in Table J.2 below.

Type Test	Reference (1)	Inverter	Synchronous Machine	Induction Machine
Utility Interaction	UL 1741 – 39	X	X	X
DC Isolation	UL 1741 – 40.1	X	—	—
Simulated PV Array (Input) Requirements	UL 1741 – 41.2	X	—	—
Dielectric Voltage Withstand	UL 1741 – 44	X	X	X
Power Factor	UL 1741 – 45.2.2	X	X	X
Harmonic Distortion	UL 1741 – 45.4	X	X	X
DC Injection	UL 1741 – 45.5	X	—	—
Utility Voltage and Frequency Variation	UL 1741 – 46.2	X	X	X
Reset Delay	UL 1741 – 46.2.3	X	X	X
Loss of Control Circuit	UL 1741 – 46.4	X	X	X
Short Circuit	UL 1741 – 47.3	X	X	X
Load Transfer	UL 1741 – 47.7	X	X	X
Surge Withstand Capability	J.3.e	X	X	X
Anti-Islanding	J.3.b	(2)	(2)	(2)
Non-Export	J.3.c	(3)	(3)	(3)
In-rush Current	J.3.d	—	—	(4)
Synchronization	J.3.f	(5)	X	(5)

- Table Notes: (1) References are to section numbers in either UL 1741 (Inverters, Converters and Charge Controllers for use in Independent Power Systems) or this Rule. References in UL 1741 to “photovoltaics” or “inverter” may have to be adapted to the other technologies by the testing laboratory to appropriately apply in the tests to other technologies.
- (2) Required only if Non-Islanding designation.
- (3) Required only if Non-Export designation is desired.
- (4) Required for Generators that use PG&E power to motor to speed.
- (5) Required for all synchronous Generators as well as Inverters that operate as voltage sources when connected to PG&E.
- X = Required
 — = Not Required

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 62

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.)

(L)

3. TYPE TESTING (Cont'd.)

Table J.2 Type Tests Sequence for Interconnection Equipment Certification

Test No.	Type Test
1	Utility Voltage and Frequency Variation
2	Synchronization
3	Surge Withstand Capability
4	Utility Voltage and Frequency Variation
5	Synchronization
6	Other Required and Optional Tests

Tests 1, 2, and 3 must be done first and in the order shown. Tests 4 and on follow in order convenient to the testing agency.

b. Anti-Islanding Test

Devices that pass the Anti-Islanding test procedure described in UL 1741 Section 46.3 will be considered Non-Islanding for the purposes of these Interconnection requirements. The test is required only for devices for which a Certified Non-Islanding designation is desired.

c. Non-Export Test

Equipment that passes the Non-Exporting test procedure described in Section J.7.a. will be considered Non-Exporting for the purposes of these Interconnection requirements. This test is required only for devices for which a Certified Non-Export designation is desired.

d. In-rush Current Test

Generation equipment that utilizes power to motor up to speed will be tested using the procedure defined in Section J.7.b to determine the maximum current drawn during this startup process. The resulting In-rush Current is used to estimate the Starting Voltage Drop.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 63

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)

3. TYPE TESTING (Cont'd.) |

e. Surge Withstand Capability Test |

The interconnection equipment shall be tested for the surge withstand requirement in D.1.c in all normal operating modes in accordance with IEEE Std C62.45-2002 for equipment rated less than 1,000 V to confirm that the surge withstand capability is met by using the selected test level(s) from IEEE Std C62.41.2-2002. Interconnection equipment rated greater than 1,000 V shall be tested in accordance with manufacturer or system integrator designated applicable standards. For interconnection equipment signal and control circuits, use IEEE Std C37.90.1-2002. These tests shall confirm the equipment did not fail, did not dispartate, and did not provide misinformation (IEEE1547-5.1.3.2). |

The location/exposure category for which the equipment has been tested shall be clearly marked on the equipment label or in the equipment documentation. External surge protection may be used to protect the equipment in harsher location/exposure categories. |

f. Synchronization Test |

This test is applied to synchronous Generators, self-excited induction machines, and inverters capable of operating as voltage-source while connected to PG&E's Distribution System. The test is also applied to the resynchronization Function (transition from stand-alone to parallel operation) on equipment that provides such functionality.² This test is not necessary for induction generators or current-source inverters. Instead, the In-rush Current test J.3.d shall be applied to those units. |

This test shall demonstrate that at the moment of the paralleling-device closure, all three synchronization parameters in Table J.3 are within the stated limits. This test shall also demonstrate that if any of the parameters are outside of the limits stated in the table, the paralleling-device shall not close (IEEE1547-5.1.2A). The test will start with only one of the three |

² The test may not need to be performed on both the synchronization and re-synchronization functions if the manufacturer can verify to the satisfaction of the testing organization that monitoring and controls hardware and software are common to both functions. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 64

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.)

(L)

3. TYPE TESTING (Cont'd.)

f. Synchronization Test (Cont'd.)

parameters: (1) voltage difference between Generating Facility and PG&E's Distribution System; (2) frequency difference; or (3) phase angle outside of the synchronization specification. Verify that the Generating Facility is brought within specification prior to synchronization. Repeat the test five times for each of the three parameters. For manual synchronization with synch check or manual control with auto synchronization, the test must verify that paralleling does not occur until the parameters are brought within specifications.

Table J.3. Synchronization Parameter Limits⁽¹⁾

Aggregate Rating of Generator Units (kVA)	Frequency Difference (Δf , Hz)	Voltage Difference (ΔV , %)	Phase Angle Difference ($\Delta \Phi$, °)
0 – 500	0.3	10	20
> 500 – 1,500	0.2	5	15
> 1,500 – 10,000	0.1	3	10

⁽¹⁾ IEEE1547-5.1.1B.

g. Paralleling Device Withstand Test

The dielectric voltage test specified in J.1 shall be performed on the paralleling device to ensure compliance with those requirements specified in D.1.c (IEEE1547-5.1.3.3).

4. PRODUCTION TESTING

As a minimum, each interconnection system shall be subjected to the Utility Voltage and Frequency Variation Test procedure described in UL1741 under Manufacturing and Production Tests, Section 68 and the Synchronization test specified in J.3.f. Interconnection systems with adjustable set points shall be tested at a single set of set points as specified by the manufacturer. This testing may be performed in the factory or as part of a Commissioning Test (Section J.5).

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 65

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)

5. COMMISSIONING TESTING

a. Commissioning Testing, where required, will be performed on-site to verify protective settings and functionality. Upon initial Parallel Operation of a Generating Facility, or any time interface hardware or software is changed that may affect the functions listed below, a Commissioning Test must be performed. An individual qualified in testing protective equipment (professional engineer, factory-Certified technician, or licensed electrician with experience in testing protective equipment) must perform Commissioning Testing in accordance with the manufacturer's recommended test procedure to verify the settings and requirements per this Rule.

PG&E may require a written Commissioning Test procedure be submitted to PG&E at least 10 working days prior to the performance of the Commissioning Test. PG&E has the right to witness Commissioning Tests. PG&E may also require written Certification by the installer describing which tests were performed and their results. Protective Functions to be tested during commissioning, particularly with respect to non-Certified Equipment, may consist of the following:

(D)

- Over and under-voltage
- Over and under-frequency
- Anti-Islanding function (if applicable)
- Non-Exporting function (if applicable)
- Inability to energize dead line
- Time delay on restart after utility source is stable
- Utility system fault detection (if used)
- Synchronizing controls (if applicable)
- Other Interconnection Protective Functions that may be required as part of the Interconnection Agreement.

Commissioning test shall include visual inspections of the Interconnection equipment and protective settings to confirm compliance with the Interconnection requirements.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 66

- | | | |
|--|-----|-------|
| J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) | (N) | (L) |
| 5. COMMISSIONING TESTING (Cont'd) | (N) | ----- |
| b. Other checks and tests that may need to be performed include: | | |
| • Verifying final Protective Function settings | | |
| • Trip test (J.5.f) | | |
| • In-service test (J.5.g) | | (L) |

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 67

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)

5. COMMISSIONING TESTING (Cont'd.)

c. Certified Equipment

Generating Facilities qualifying for Simplified Interconnection incorporate Certified Equipment that have, at a minimum, passed the Type Tests and Production Tests described in this Rule and are judged to have little or no potential impact on PG&E's Distribution System. For such Generating Facilities, it is necessary to perform only the following tests:

- 1) Protective Function settings that have been changed after Production Testing will require field verification. Tests shall be performed using injected secondary frequencies, voltages and currents, applied waveforms, at a test connection using a Generator to simulate abnormal utility voltage or frequency, or varying the set points to show that the device trips at the measured (actual) utility voltage or frequency.
- 2) The Non-Islanding Function will be checked by operating a load break disconnect switch to verify the Interconnection equipment ceases to energize PG&E's Distribution System and does not re-energize it for the required time delay after the switch is closed.
- 3) The Non-Exporting function shall be checked using secondary injection techniques. This function may also be tested by adjusting the Generating Facility output and local loads to verify that the applicable Non-Exporting criteria (i.e., reverse power or under power) are met.

The Supplemental Review or an Interconnection Study may impose additional components or additional testing.

d. Non-Certified Equipment

Non-Certified Equipment shall be subjected to the appropriate tests described in Type Testing (Section J.3) as well as those described in Certified Equipment Commissioning Tests (Section J.5.c). With PG&E's approval, these tests may be performed in the factory, in the field as part of commissioning, or a combination of both. PG&E, at its discretion, may also approve a reduced set of tests for a particular Generating Facility or, for example, if it determines it has sufficient experience with the equipment.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 68

- J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)
5. COMMISSIONING TESTING (Cont'd.)
- e. Verification of Settings
- At the completion of the Commissioning testing, the Producer shall confirm all devices are set to PG&E-approved settings. Verification shall be documented in the Commissioning Test Certification.
- f. Trip Tests
- Interconnection Protective Functions and devices (e.g., reverse power relays) that have not previously been tested as part of the Interconnection Facilities with their associated interrupting devices (e.g., contactor or circuit breaker) shall be trip tested during commissioning. The trip test shall be adequate to prove that the associated interrupting devices open when the protective devices operate. Interlocking circuits between Protective Function devices or between interrupting devices shall be similarly tested unless they are part of a system that has been tested and approved during manufacturing.
- g. In-Service Tests
- Interconnection Protective Functions and devices that have not previously been tested as part of the Interconnection Facilities with their associated instrument transformers or that are wired in the field shall be given an in-service test during commissioning. This test will verify proper wiring, polarity, CT/PT ratios, and proper operation of the measuring circuits. The in-service test shall be made with the power system energized and carrying a known level of current. A measurement shall be made of the magnitude and phase angle of each Alternating Current (AC) voltage and current connected to the protective device and the results compared to expected values. For protective devices with built-in Metering functions that report current and voltage magnitudes and phase angles, or magnitudes of current, voltage, and real and reactive power, the metered values may be used for in-service testing. Otherwise, portable ammeters, voltmeters, and phase-angle meters shall be used. (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 69

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)

6. PERIODIC TESTING (T)

Periodic Testing of Interconnection-related Protective Functions shall be performed as specified by the manufacturer, or at least every four years. All periodic tests prescribed by the manufacturer shall be performed. The Producer shall maintain periodic test reports or a log for inspection by PG&E. Periodic Testing conforming to PG&E test intervals for the particular Line Section may be specified by PG&E under special circumstances, such as high fire hazard areas. Batteries used to activate any Protective Function shall be checked and logged once per month for proper voltage. Once every four years, these batteries must be either replaced or a discharge test must be performed.

7. TYPE-TESTING PROCEDURES NOT DEFINED IN OTHER STANDARDS

This section describes the additional Type Tests necessary to qualify a device as Certified under this Rule. These Type Tests are not contained in Underwriters Laboratories UL 1741 *Standard Inverters, Converters and Controllers for Use in Independent Power Systems*, or other referenced standards.

a. Non-Exporting Test Procedures

The Non-Exporting test is intended to verify the operation of relays, controllers and inverters designed to limit the export of power and certify the equipment as meeting the requirements of Screen 2, Options 1 and 2, of the review process. Tests are provided for discrete relay packages and for controllers and inverters that include the intended Functions integrated.

1) Discrete Reverse Power Relay Test

This version of the Non-Exporting test procedure is intended for discrete reverse power and under power relay packages provided to meet the requirements of Options 1 and 2 of Screen 2. It should be understood that in the reverse power application, the relay will provide a trip output with power flowing in the export (toward the PG&E Distribution System) direction.

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 70

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)

7. TYPE TESTING PROCEDURES (Cont'd.)

a. Non-Exporting Test Procedures (Cont'd.)

1) Discrete Reverse Power Relay Test (Cont'd.)

Step 1: Power Flow Test at Minimum, Midpoint and Maximum Pickup Level Settings

Determine the corresponding secondary pickup current for the desired export power flow of 0.5 secondary watts (the minimum pickup setting, assumes 5 Amps and 120V CT/PT secondary). Apply nominal voltage with minimum current setting at zero (0) degrees phase angle in the trip direction. Increase the current to pickup level. Observe the relay's (LCD or computer display) indication of power values. Note the indicated power level at which the relay trips. The power indication should be within 2% of the expected power. For relays with adjustable settings, repeat this test at the midpoint, and maximum settings. Repeat at phase angles of 90, 180 and 270 degrees and verify that the relay does not operate (measured watts will be zero or negative).

Step 2: Leading Power Factor Test

Apply rated voltage with a minimum pickup current setting (calculated value for system application) and apply a leading power factor load current in the non-trip direction (current lagging voltage by 135 degrees). Increase the current to relay rated current and verify that the relay does not operate. For relays with adjustable settings, this test should be repeated at the minimum, midpoint, and maximum settings.

Step 3: Minimum Power Factor Test

At nominal voltage and with the minimum pickup (or ranges) determined in Step 1, adjust the current phase angle to 84 or 276 degrees. Increase the current level to pickup (about 10 times higher than at 0 degrees) and verify that the relay operates. Repeat for phase angles of 90, 180 and 270 degrees and verify that the relay does not operate.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 71

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)

7. TYPE TESTING PROCEDURES (Cont'd.)

a. Non-Exporting Test Procedures (Cont'd.) (T)

1) Discrete Reverse Power Relay Test (Cont'd.)

Step 4: Negative Sequence Voltage Test

Using the pickup settings determined in Step 1, apply rated relay voltage and current at 180 degrees from tripping direction, to simulate normal load conditions (for three-phase relays, use I_a at 180, I_b at 60 and I_c at 300 degrees). Remove phase 1 voltage and observe that the relay does not operate. Repeat for phases 2 and 3.

Step 5: Load Current Test

Using the pickup settings determined in Step 1, apply rated voltage and current at 180 degrees from the tripping direction, to simulate normal load conditions (use I_a at 180, I_b at 300 and I_c at 60 degrees). Observe that the relay does not operate.

Step 6: Unbalanced Fault Test

Using the pickup settings determined in Step 1, apply rated voltage and two times rated current, to simulate an unbalanced fault in the non-trip direction (use V_a at 0 degrees, V_b and V_c at 180 degrees, I_a at 180 degrees, I_b at 0 degrees, and I_c at 180 degrees). Observe that the relay, especially single phase, does operate properly.

Step 7: Time Delay Settings Test

Apply Step 1 settings and set time delay to minimum setting. Adjust the current source to the appropriate level to determine operating time, and compare against calculated values. Verify that the timer stops when the relay trips. Repeat at midpoint and maximum delay settings.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 72

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)

7. TYPE TESTING PROCEDURES (Cont'd.) |

a. Non-Exporting Test Procedures (Cont'd.) (T) |

1) Discrete Reverse Power Relay Test (Cont'd.) |

Step 8: Dielectric Test |

Perform the test described in IEC 414 using 2 kV RMS for one minute. |

Step 9: Surge Withstand |

Perform the surge withstand test described in IEEE C37.90.1.1989 or the surge withstand test described in Section J.3.e. |

2) Discrete Under-Power Relay Test |

This version of the Non-Exporting test procedure is intended for discrete under-power relay packages and meets the requirements of Option 2 of Screen 2. A trip output will be provided when import power (toward the Producer's Load) drops below the specified level. |

Note: For an under-power relay, pickup is defined as the highest power level at which the relay indicates that the power is less than the set level. |

Step 1: Power Flow Test at Minimum, Midpoint and Maximum Pickup Level Settings |

Determine the corresponding secondary pickup current for the desired power flow pickup level of 5% of peak load minimum pickup setting. Apply rated voltage and current 0 (zero) degrees phase angle in the direction of normal load current. |

Decrease the current to pickup level. Observe the relay's (LCD or computer display) indication of power values. Note the indicated power level at which the relay trips. The power indication should be within 2% of the expected power. For relays with adjustable settings, repeat the test at the midpoint and maximum settings. Repeat at phase angles of 90, 180 and 270 degrees and verify that the relay operates (measured watts will be zero or negative). (L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 73

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)

7. TYPE TESTING PROCEDURES (Cont'd.)

a. Non-Exporting Test Procedures (Cont'd.) (T)

2) Discrete Under-Power Relay Test (Cont'd.)

Step 2: Leading Power Factor Test

Using the pickup current setting determined in Step 1, apply rated voltage and rated leading power factor load current in the normal load direction (current leading voltage by 45 degrees). Decrease the current to 145 percent of the pickup level determined in Step 1 and verify that the relay does not operate. For relays with adjustable settings, repeat the test at the minimum, midpoint and maximum settings.

Step 3: Minimum Power Factor Test

At nominal voltage and with the minimum pickup (or ranges) determined in Step 1, adjust the current phase angle to 84 or 276 degrees. Decrease the current level to pickup (about 10 percent of the value at 0 degrees) and verify that the relay operates. Repeat for phase angles 90, 180 and 270 degrees and verify that the relay operates for any current less than rated current.

Step 4: Negative Sequence Voltage Test

Using the pickup settings determined in Step 1, apply rated relay voltage and 25 percent of rated current in the normal load direction, to simulate light load conditions. Remove phase 1 voltage and observe that the relay does not operate. Repeat for phases 2 and 3.

Step 5: Unbalanced Fault Test

Using the pickup settings determined in Step 1, apply rated voltage and two times rated current, to simulate an unbalanced fault in the normal load direction (use V_a at 0 degrees, V_b and V_c at 180 degrees, I_a at 0 degrees, I_b at 180 degrees, and I_c at 0 degrees). Observe that the relay, especially single phase types, operates properly.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 74

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)

7. TYPE TESTING PROCEDURES (Cont'd.)

a. Non-Exporting Test Procedures (Cont'd.) (T)

2) Under-Power Relay Test (Cont'd.)

Step 6: Time Delay Settings Test

Apply Step 1 settings and set time delay to minimum setting. Adjust the current source to the appropriate level to determine operating time and compare against calculated values. Verify that the timer stops when the relay trips. Repeat at midpoint and maximum delay settings.

Step 7: Dielectric Test

Perform the test described in IEC 414 using 2 kV RMS for one minute.

Step 8: Surge Withstand

Perform the surge withstand test described in IEEE C37.90.1.1989 or the surge withstand test described in Section J.3.e.

3) Tests for Inverters and Controllers with Integrated Functions

Inverters and controllers designed to provide reverse or under-power Functions shall be tested to certify the intended operation of this Function. Two methods are acceptable:

Method 1: If the inverter or controller utilizes external current/voltage measurement to determine the reverse or under-power condition, then the inverter or controller shall be functionally tested by application of appropriate secondary currents and potentials as described in the Discrete Reverse Power Relay Test, Section J.7.a.(1) of this Rule.

Method 2: If external secondary current or voltage signals are not used, then unit-specific tests must be conducted to verify that power cannot be exported across the PCC for a period exceeding two seconds. These may be factory tests, if the measurement and control points are integral to the unit, or they may be performed in the field.

(L)

(Continued)



ELECTRIC RULE NO. 21
GENERATING FACILITY INTERCONNECTIONS

Sheet 75

J. CERTIFICATION AND TESTING CRITERIA (Cont'd.) (L)

7. TYPE TESTING PROCEDURES (Cont'd.) |

b. In-rush Current Tests Procedures |

This test will determine the maximum In-rush Current drawn by the Generator. |

1) Locked-Rotor Method |

Use the test procedure defined in NEMA MG-1 (manufacturer's data is acceptable if available). |

2) Start-Up Method |

Install and setup the Generating Facility equipment as specified by the manufacturer. Using a calibrated oscilloscope or data acquisition equipment with appropriate speed and accuracy, measure the current draw at the Point of Interconnection as the Generating Facility starts up and parallels with PG&E's Distribution System. Startup shall follow the normal, manufacturer-specified procedure. Sufficient time and current resolution and accuracy shall be used to capture the maximum current draw within five percent. In-rush Current is defined as the maximum current draw from PG&E during the startup process, using a 10-cycle moving average. During the test, the utility source, real or simulated, must be capable of maintaining voltage within +/- 5% of rated at the connection to the unit under test. Repeat this test five times. Report the highest 10-cycle current as the In-rush Current. A graphical representation of the time-current characteristic along with the certified In-rush Current must be included in the test report and made available to PG&E. (L)



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